

FROM RACEMIC TO ENANTIORICH COMPOUNDS: RECENT ADVANCES IN TRANSITION-METAL CATALYZED ENANTIOCONVERGENT TRANSFORMATIONS

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Literature Review – 14 Dec 2017



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1.

INTRODUCTION

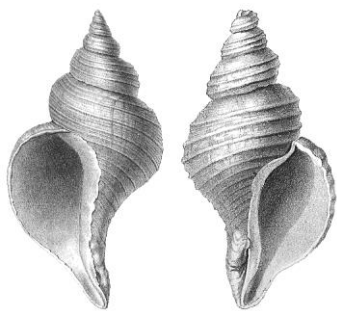
Chirality, enantiorich molecules, and enantioselective preparations

INTRODUCTION: CONCEPTS

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Chirality

A chiral molecule is a type of molecule that has a non-superposable mirror image.



Enantiomer

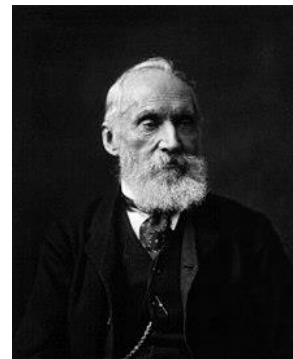
Also known as an optical isomer, is one of two stereoisomers that are mirror images of each other that are non-superposable.

Enantioselective Preparation

Method for preparation of chemical compounds which aims to bias the synthesis in favour of producing one enantiomer over another enantiomer.

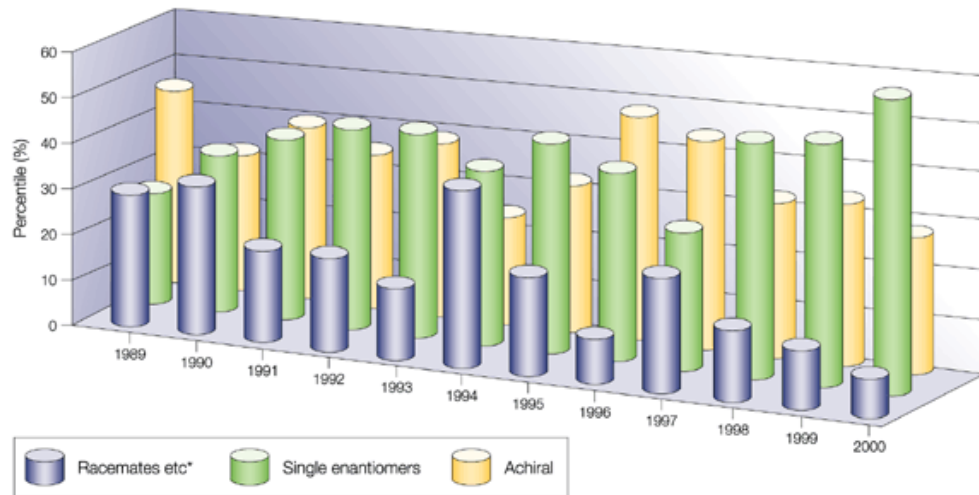
1893, Lord Kelvin, Oxford University Junior Scientific Club

'I call any geometrical figure, or group of points, 'chiral', and say that it has chirality if its image in a plane mirror, ideally realized, cannot be brought to coincide with itself.'



INTRODUCTION: WHY ARE THEY IMPORTANT?

- ▶ Enantiomerich compounds play a critical role in pharmaceuticals, agrochemicals, as well as everyday life;
- ▶ In 1987: **57%** marketed drugs were chiral; **2%** single enantiomers;
- ▶ In 2006: **80%** drugs approved by FDA were chiral; **75%** single enantiomers;
- ▶ The percentage of single enantiomer variant drugs doubled (40% to 80%) from 2000 to 2004;
- ▶ Small change in chemical structure leads to big change in bioactivity;



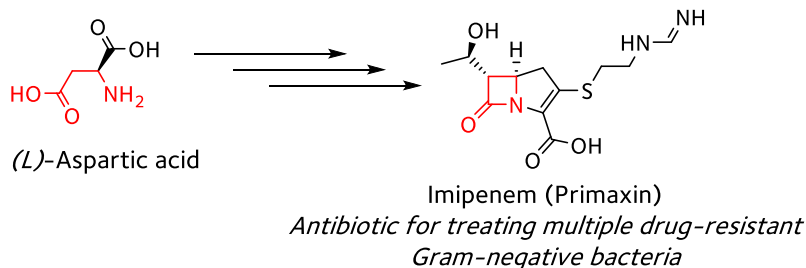
Challener C. A. *Overview of chirality*. In: *Chiral drugs*. 1st ed. Aldershot (England): Ashgate Publisher. **2001**; 3-14.

Drayer D. E. *The early history of stereochemistry*. In: *Drug stereochemistry. Analytical methods and pharmacology*. 2nd ed., Wainer IW, editor. New York: Marcel Dekker Publisher. **1993**; 1-24.

Lin G. Q. *Chiral Drugs: Chemistry and Biological Action*. John Wiley & Sons, Hoboken. **2011**. 472.

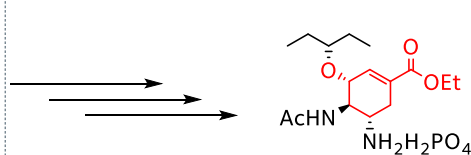
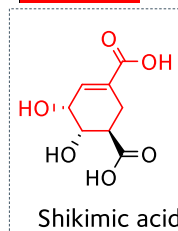
INTRODUCTION: CHIRAL POOL SYNTHESIS

- ▶ **Chiral pool synthesis:** use of enantiopure, readily available natural products to obtain more complex structures;
- ▶ Especially helpful if the desired molecule bears a great resemblance to starting material;
- ▶ Limited by availability;
- ▶ Not all natural products are cheap;



\$150,000 per kg from Sigma-Aldrich

\$1-25 per kg from Alibaba

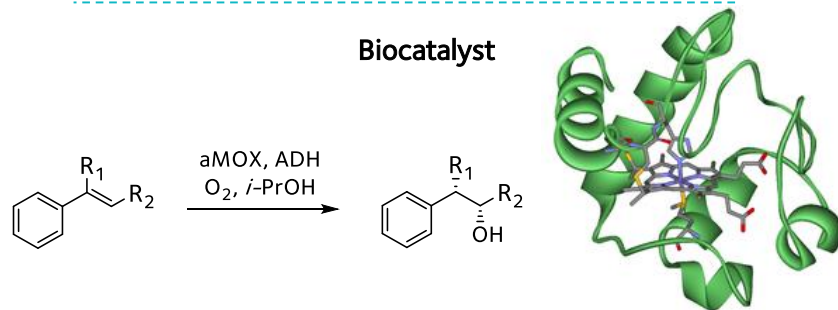
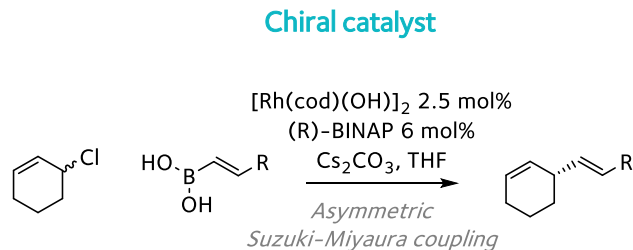
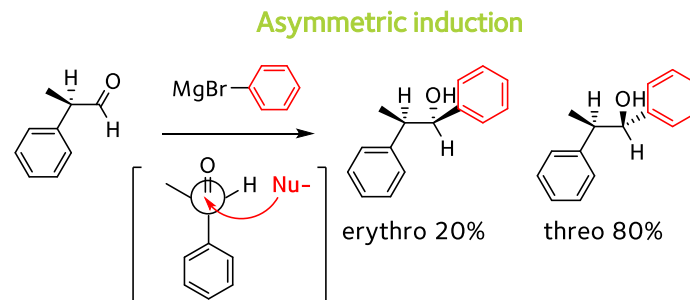
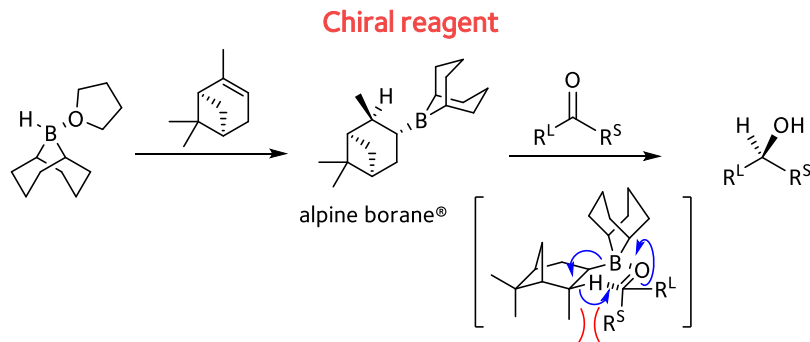


antiviral medication used to treat and prevent influenza A and influenza B (flu)



INTRODUCTION: ASYMMETRIC SYNTHESIS

- ▶ **Asymmetric synthesis:** use of various techniques to prepare the desired compound in high enantiomeric excess.
- ▶ Techniques encompassed include the use of **chiral reagents**, **chiral catalysts**, and **asymmetric induction**.
- ▶ The use of enzymes (**biocatalysis**) may also produce the desired compound (limited by availability);



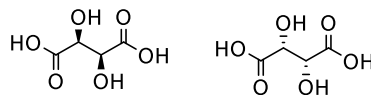
Midland *et. al. Org. Synth. Coll. Vol. 7*, 402.
Schafer *et. al. Nature Chem.* **2017**, *8*, 15762.

Cram *et. al. J. Am. Chem. Soc.* **1952**, *74*(23), 5828.
Colombo *et. al. Clin. Microbiol. Rev.* **2011**, *24*(4), 682.
Hammer *et. al. Science* **2017**, *358*, 215.

INTRODUCTION: CHIRAL RESOLUTION

- ▶ **Chiral resolution:** a process for the separation of racemic compounds into their enantiomers;
- ▶ An important tool in the production of optically active drugs;
- ▶ Only 50% of a desired enantiomer is obtained;

Separation by tweezer

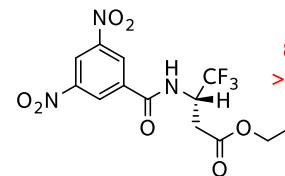


Spontaneous resolution:
tartaric acid

Separation by column



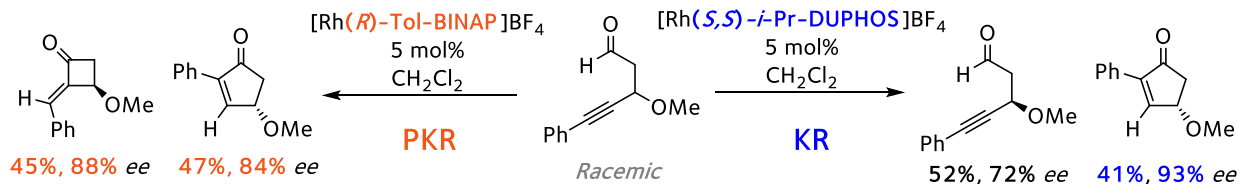
Chiral column: HPLC



hexane / EtOAc (5:1)
61% ee sample
8.1% ee (first fraction)
>99.9% ee (last fraction)

Achiral column: enantiomer
self-disproportionation

Kinetic resolution (KR) / Parallel kinetic resolution (PKR)



Andrade-Gamboa et. al. *J. Chem. Educ.* **2007**, 84 (11), 1783.

Kauffman et. al. *J. Chem. Educ.* **1975**, 52, 777.

Fujima et. al. *Org. Process Res. Dev.* **2006**, 10 (5), 905.

Cundy et. al. *J. Chromatogr.* **1983**, 281, 17.

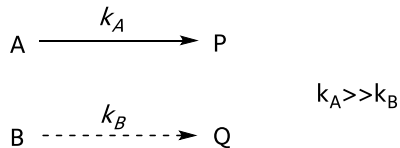
Soloshonok et. al. *Angew. Chem. Int. Ed.* **2006**, 45(5), 766.

Tanaka et. al. *J. Am. Chem. Soc.* **2009**, 131, 444.

OVERCOME THE LIMITATIONS

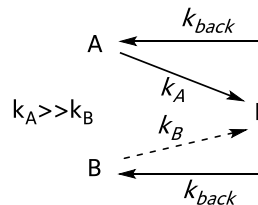
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Kinetic resolution



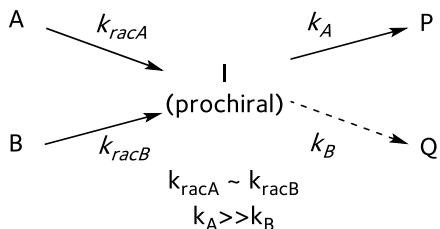
yield $\leq 50\%$
ee $\leq 100\%$

Cyclic De-racemization (CycD)

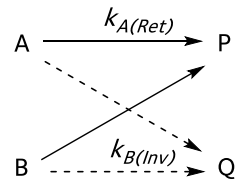


yield $\leq 100\%$
ee $\leq 100\%$

Stereoablative reactions



Enantioconvergent process

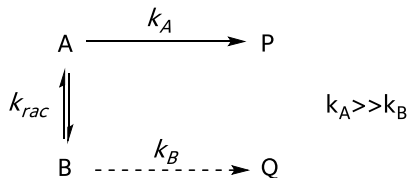


Not defined as
traditional
resolution

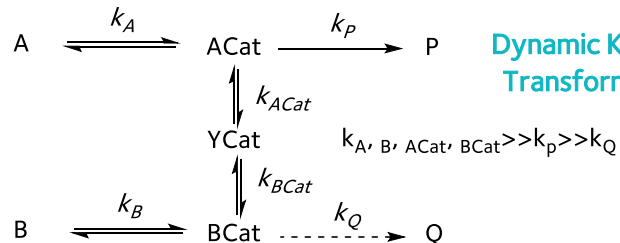
Dynamic Substrate
Directed Resolution
(DSDRs)

Parallel Kinetic
Resolution
(PKR)

Dynamic Kinetic resolution (DKR)



Dynamic Kinetic Asymmetric Transformations (DyKATs)



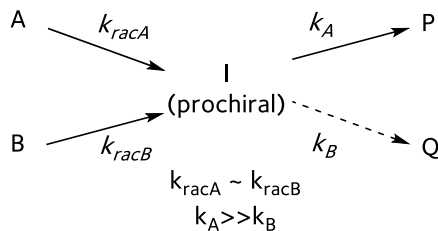
2.

STEREOABLATIVE REACTIONS

STEREOABLATIVE REACTIONS

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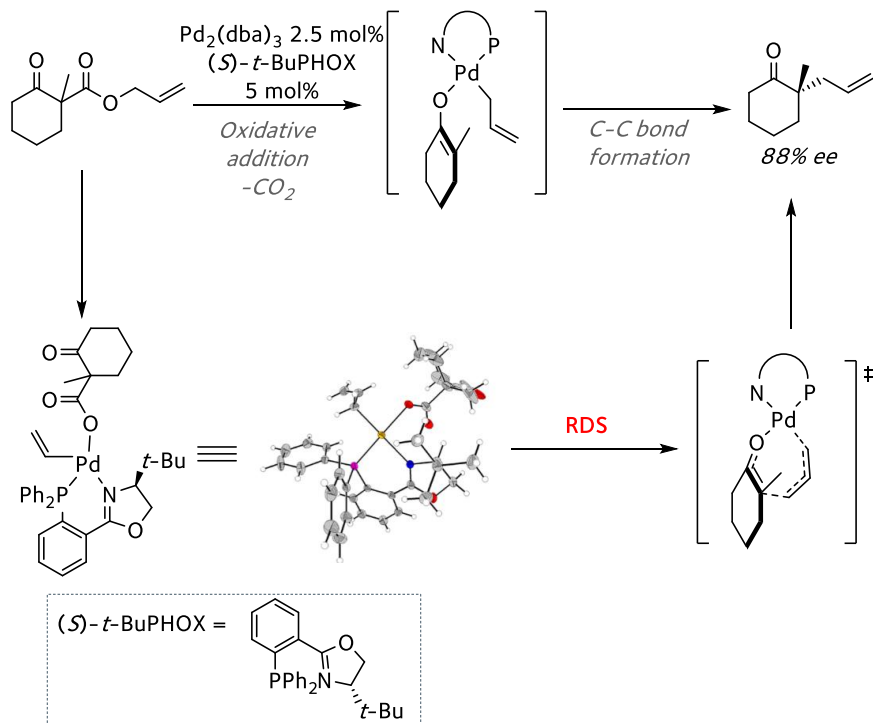
- ▶ A key reactive intermediate is formed *via* the **irreversible** destruction of a stereocenter;
- ▶ Identical or nearly identical rates of stereoablation ($k_{racA} \sim k_{racB}$);
- ▶ Substantially different rates of product formation ($k_A \gg k_B$);
- ▶ No discernible dynamic or reversible nature to the process with respect to the organic stereogenicity;



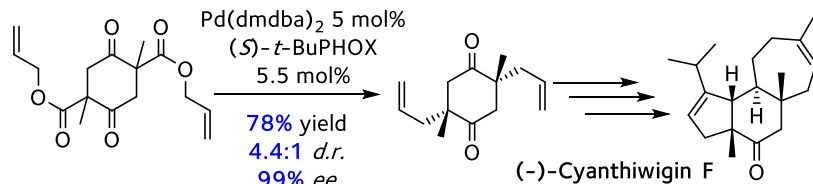
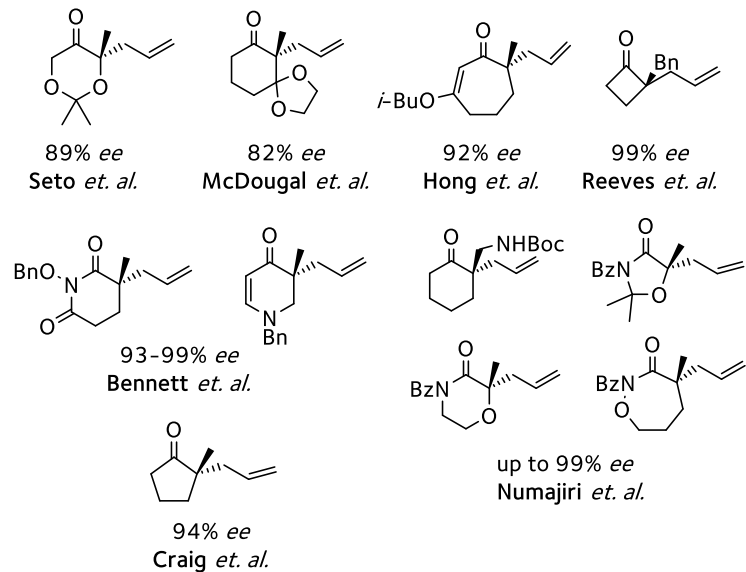
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Allylic Alkylation



Substrate Scope



Trost *et al.* Chem. Rev. **1996**, 96, 395.

Keith *et. al.* J. Am. Chem. Soc. **2007**, 129, 11876.

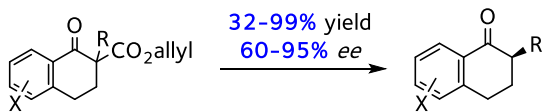
Sherden *et. al.* Angew. Chem. Int. Ed. **2009**, 48, 6840.

Enquist *et. al.* Nature **2008**, 453, 1228.

STEREOABLATIVE REACTIONS

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Enantioselective Protonation



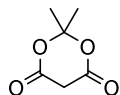
Condition A:

Pd(OAc)₂ 10 mol%
(S)-*t*-BuPHOX 12.5 mol%
HCO₂H, dioxane, 40 °C

Condition B:

Pd₂(dba)₃ 5 mol%
(S)-*t*-BuPHOX 12.5 mol%
Meldrum's acid, dioxane, 40 °C

Meldrum's acid



- ▶ substantial optimization was required for each substrate;
- ▶ enolates were not always protonated from the same face;
- ▶ Very limited mechanistic studies;

Mohr *et. al. J. Am. Chem. Soc.* **2006**, *128*, 11348.

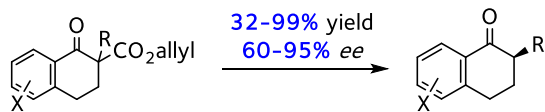
Marinescu *et. al. Org. Lett.* **2008**, *10*, 1039.

Zhang *et. al. J. Am. Chem. Soc.* **2016**, *138*, 8084.

STEREOABLATIVE REACTIONS

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Enantioselective Protonation

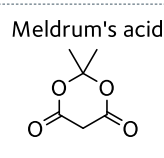


Condition A:

$\text{Pd}(\text{OAc})_2$ 10 mol%
 (S) -*t*-BuPHOX 12.5 mol%
 HCO_2H , dioxane, 40 °C

Condition B:

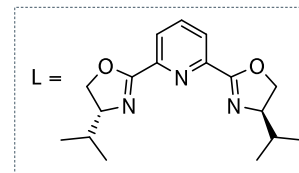
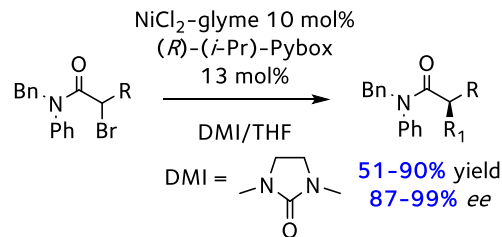
$\text{Pd}_2(\text{dba})_3$ 5 mol%
 (S) -*t*-BuPHOX 12.5 mol%
 Meldrum's acid, dioxane, 40 °C



- ▶ substantial optimization was required for each substrate;
- ▶ enolates were not always protonated from the same face;
- ▶ Very limited mechanistic studies;

Mohr *et. al. J. Am. Chem. Soc.* **2006**, 128, 11348.
 Marinescu *et. al. Org. Lett.* **2008**, 10, 1039.
 Zhang *et. al. J. Am. Chem. Soc.* **2016**, 138, 8084.

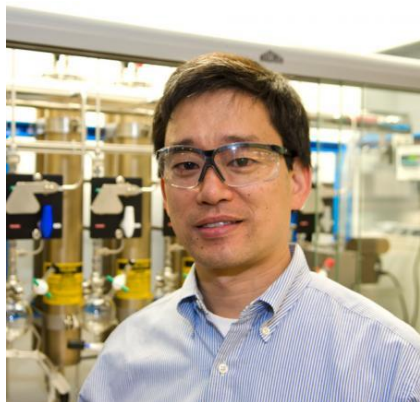
Cross-coupling Reactions



Fischer *et. al. J. Am. Chem. Soc.* **2005**, 127, 4594.

STEREOABLATIVE REACTIONS

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Gregory C. Fu

2012 – present

Altair Professor of Chemistry, Caltech

1999 – 2012

Professor of Chemistry, MIT

Selected publication (35 in total from 2005 - 2017)

Negishi: (a) Do *et. al. J. Am. Chem. Soc.* **2013**, *135*, 16288; (b) Liang *et. al. J. Am. Chem. Soc.* **2014**, *136*, 5520; (c) Liang *et. al. J. Am. Chem. Soc.* **2015**, *137*, 9523.

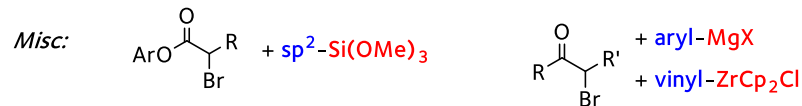
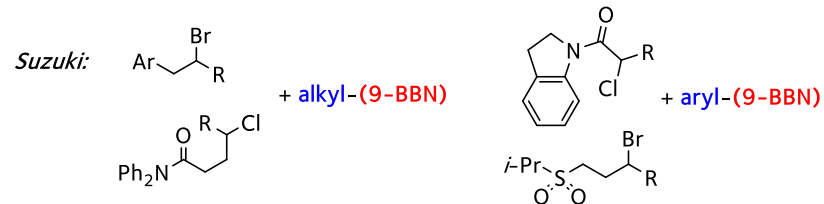
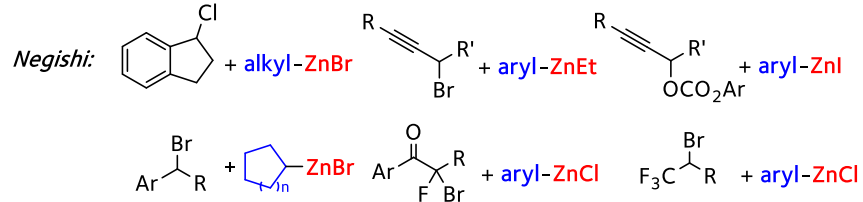
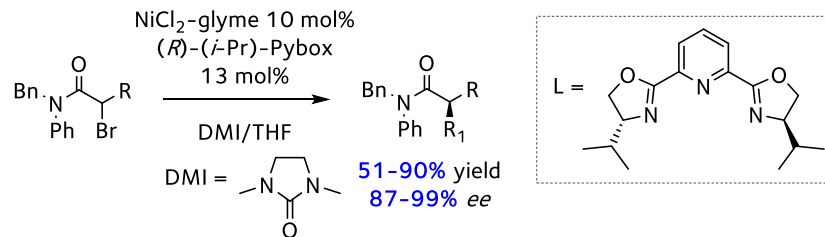
Suzuki: (a) Saito *et. al. J. Am. Chem. Soc.* **2008**, *130*, 6694; (b) Lundin *et. al. J. Am. Chem. Soc.* **2010**, *132*, 11027; Wilsily *et. al. J. Am. Chem. Soc.* **2012**, *134*, 5794.

Hiyama: Dai *et. al. J. Am. Chem. Soc.* **2008**, *130*, 3302.

Kumada: Lou *et. al. J. Am. Chem. Soc.* **2010**, *132*, 1264.

Zirconium–Negishi: Lou *et. al. J. Am. Chem. Soc.* **2010**, *132*, 5010.

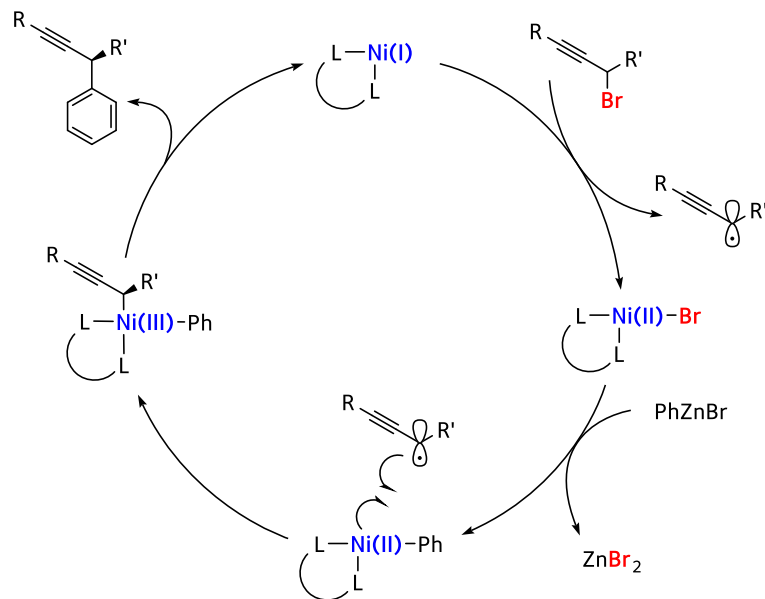
Cross-coupling Reactions



Fischer *et. al. J. Am. Chem. Soc.* **2005**, *127*, 4594.

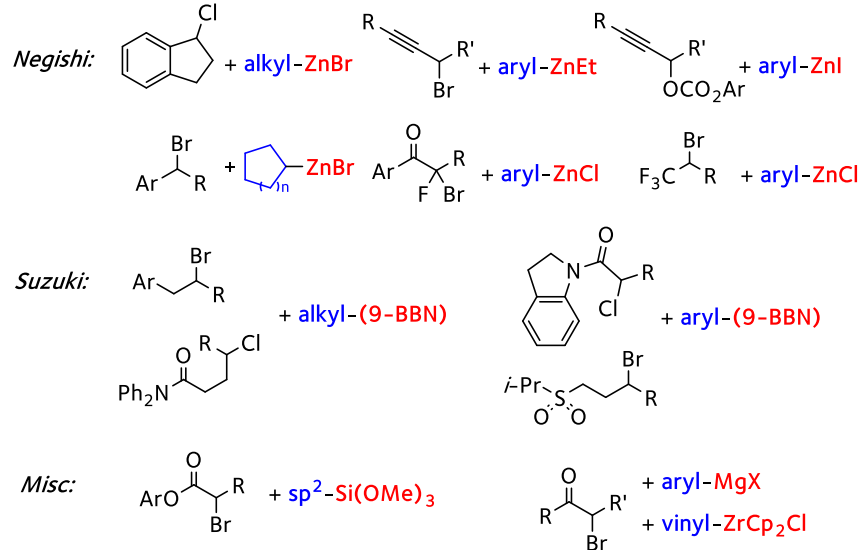
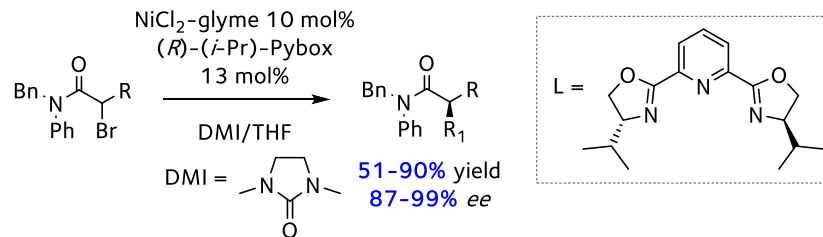
STEREOABLATIVE REACTIONS

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Schley *et. al. J. Am. Chem. Soc.* **2014**, *136*, 16588.

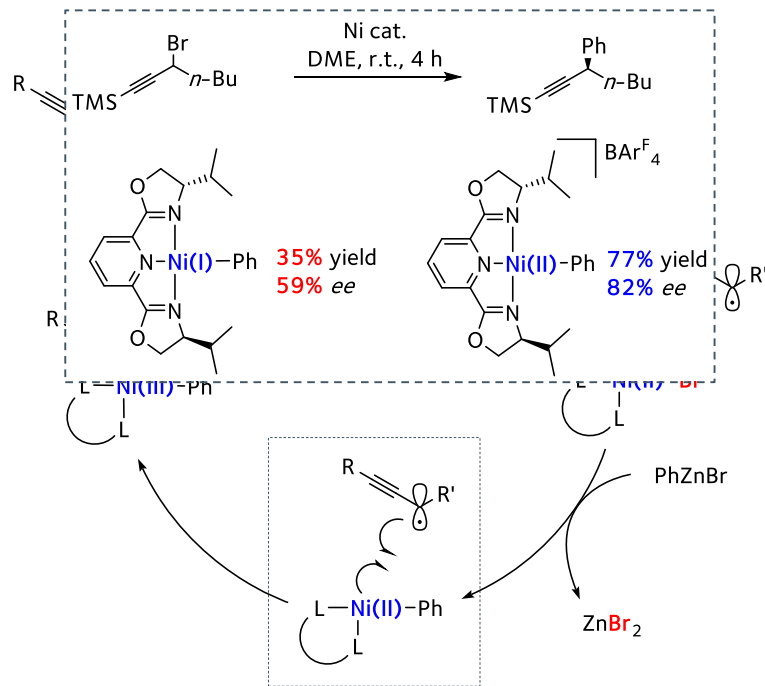
Cross-coupling Reactions



Fischer *et. al. J. Am. Chem. Soc.* **2005**, *127*, 4594.

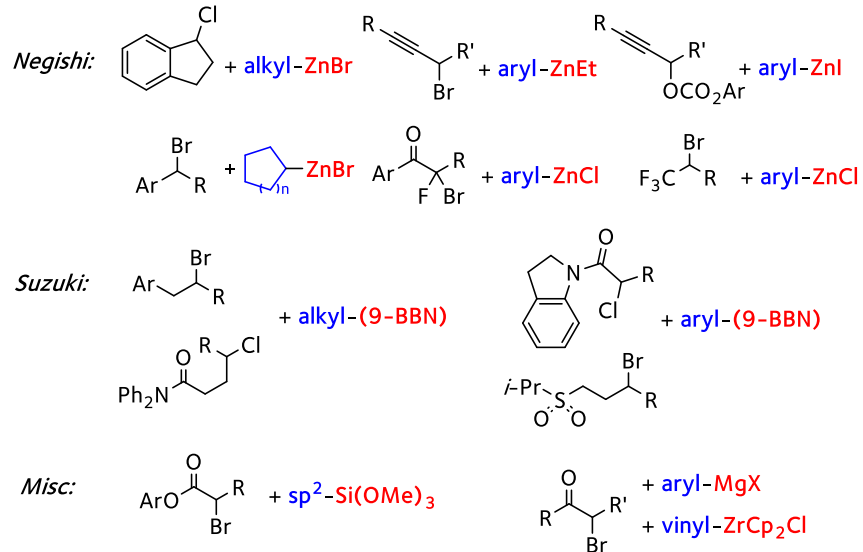
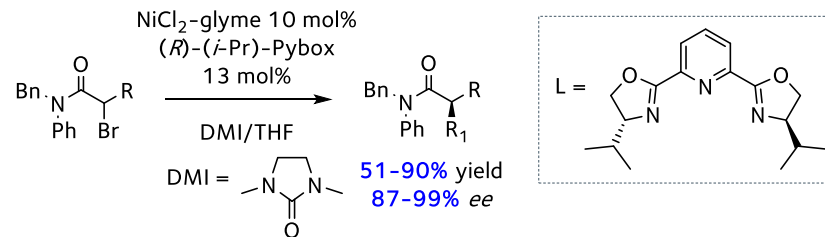
STEREOABLATIVE REACTIONS

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Schley et. al. *J. Am. Chem. Soc.* **2014**, *136*, 16588.

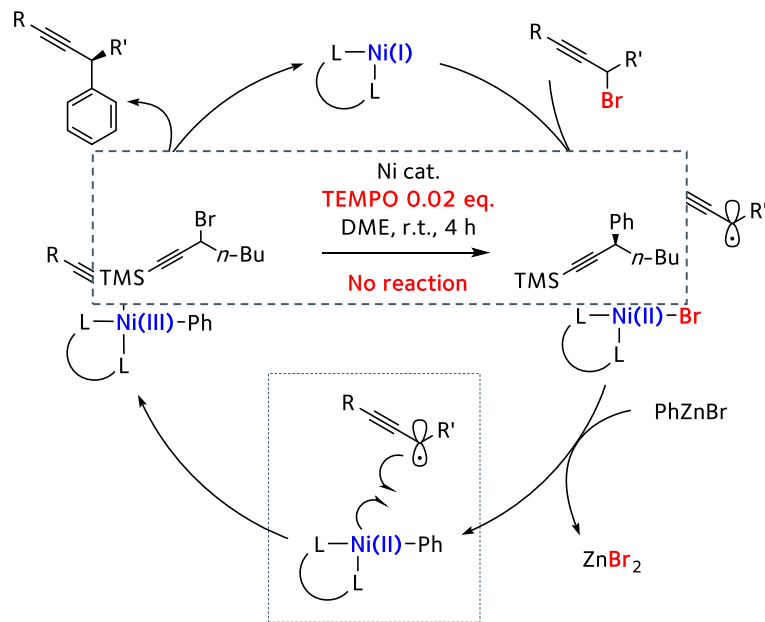
Cross-coupling Reactions



Fischer et. al. *J. Am. Chem. Soc.* **2005**, *127*, 4594.

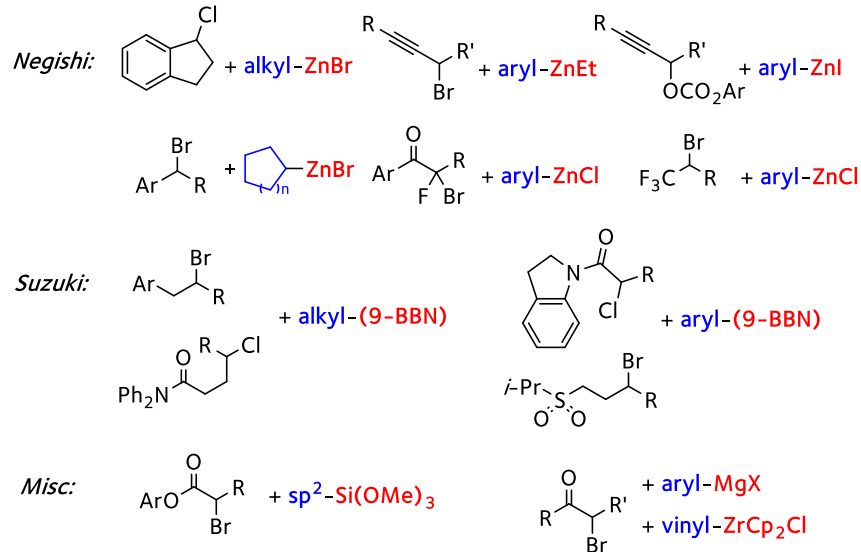
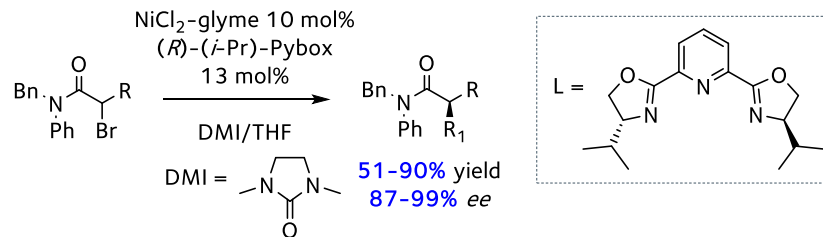
STEREOABLATIVE REACTIONS

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Schley *et. al. J. Am. Chem. Soc.* **2014**, *136*, 16588.

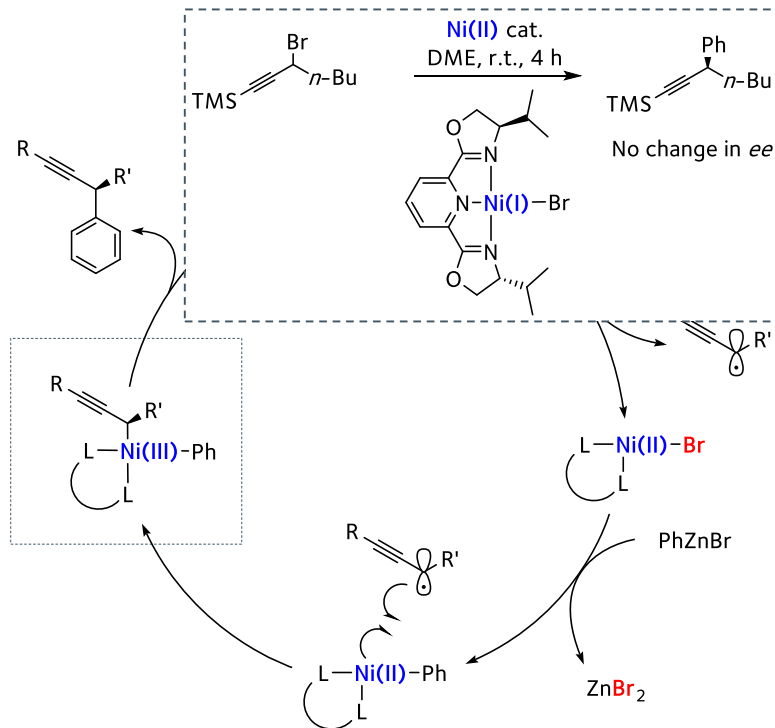
Cross-coupling Reactions



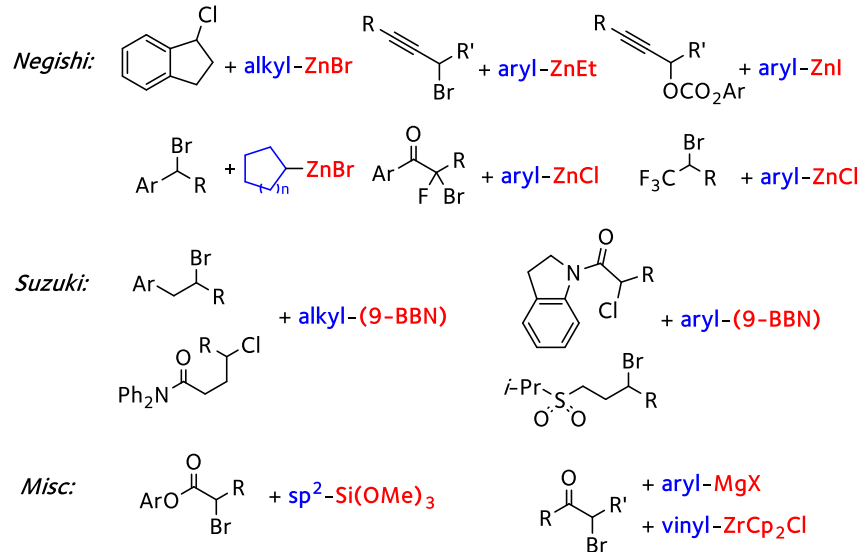
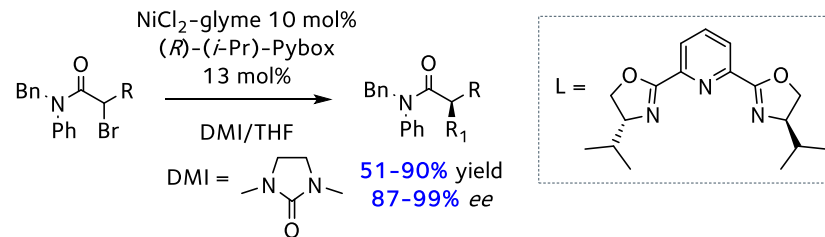
Fischer *et. al. J. Am. Chem. Soc.* **2005**, *127*, 4594.

STEREOABLATIVE REACTIONS

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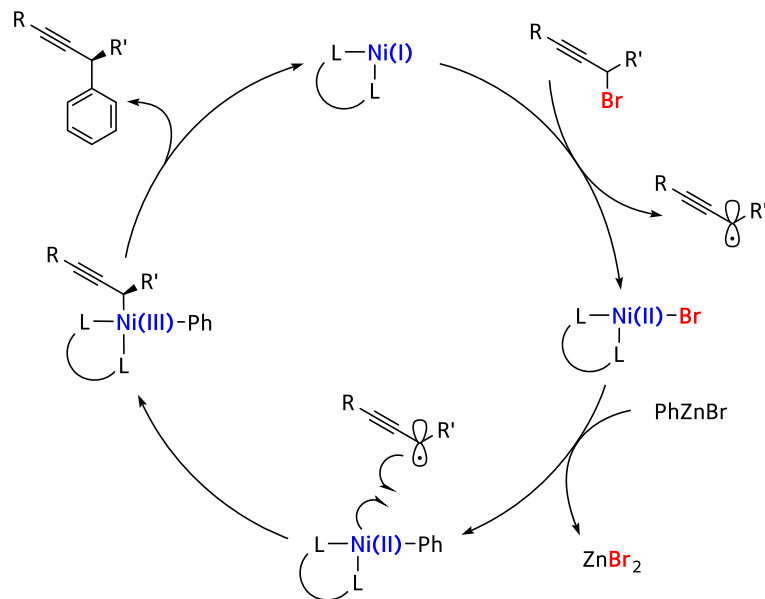
Cross-coupling Reactions



STEREOABLATIVE REACTIONS

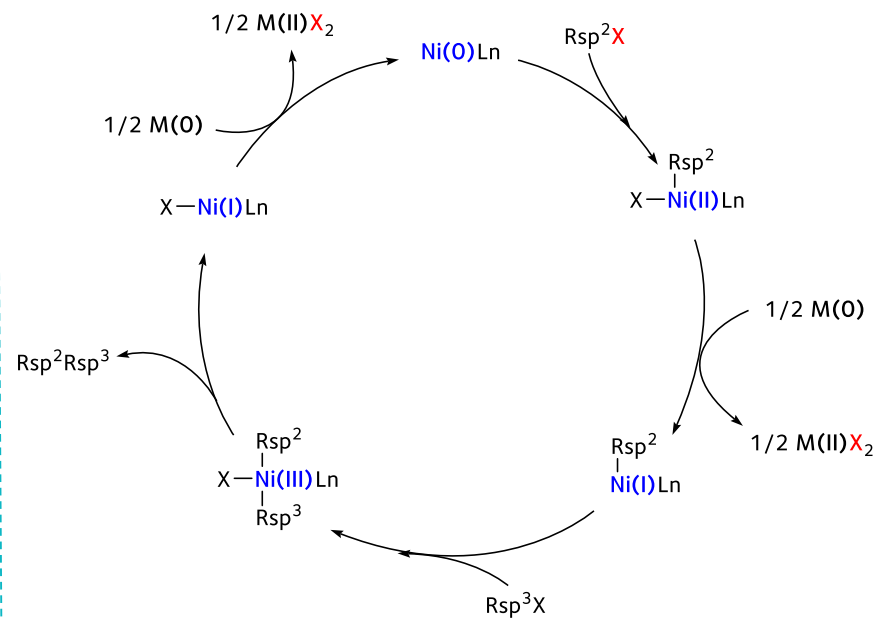
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Traditional Cross-coupling Reactions



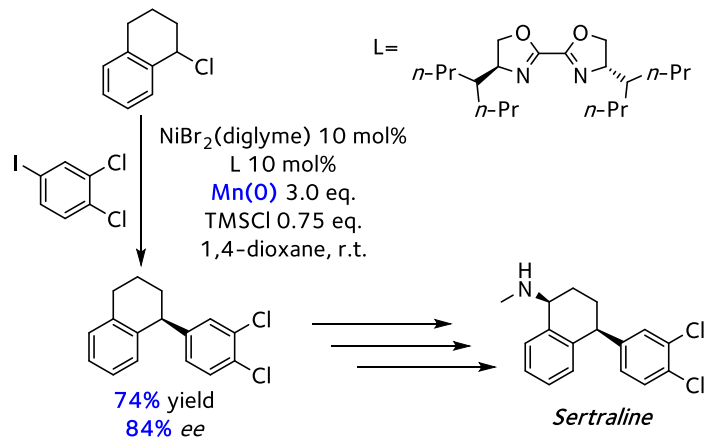
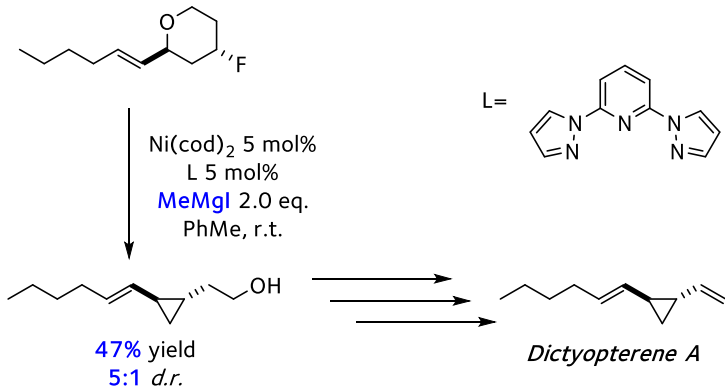
Schley *et. al. J. Am. Chem. Soc.* **2014**, *136*, 16588.

Cross-Electrophile Couplings

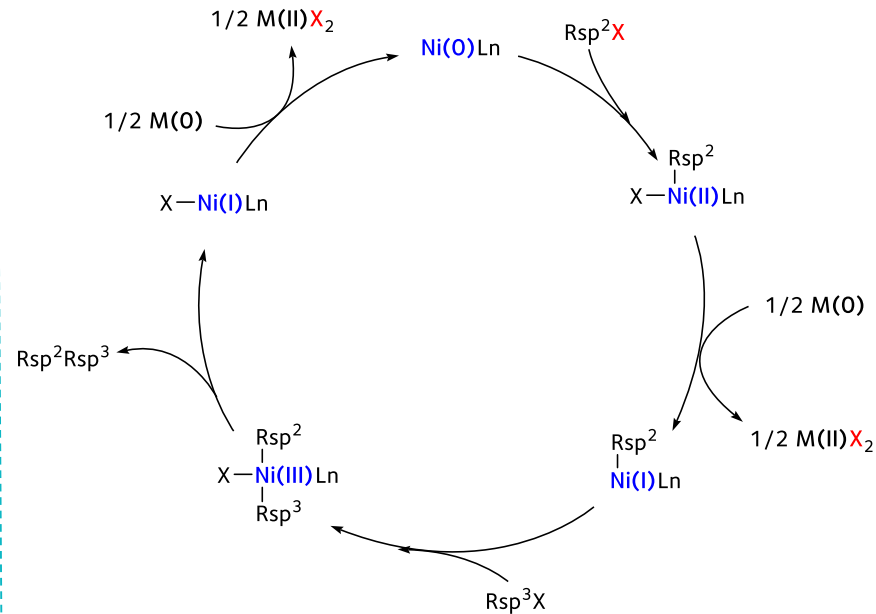


Lucas *et. al. Nature Rev. Chem.* **2017**, *asap*.

STEREOABLATIVE REACTIONS



Cross-Electrophile Couplings



ANY QUESTIONS?



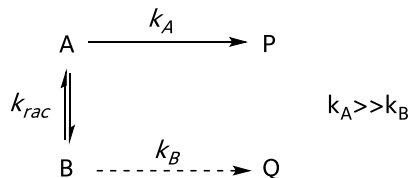
3.

DYNAMIC KINETIC RESOLUTION

DYNAMIC KINETIC RESOLUTION (DKR)

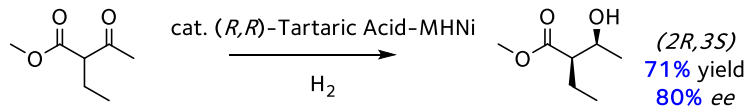
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- ▶ Involve **reversible** racemization prior to the selective reaction of one enantiomer with the chiral catalyst;
- ▶ Interconversion of enantiomers must be rapid and independent of the catalyst (fast k_{rac});
- ▶ One enantiomer of substrate with the chiral catalyst must occur with a significantly higher rate than that of the other enantiomer ($k_A \gg k_B$);
- ▶ The equilibrium between A and B shifts according to Le Châtelier's principle as reaction proceeds;



DYNAMIC KINETIC RESOLUTION (DKR)

Asymmetric Hydrogenation



MHNI = Modified activated nickle prepared by hydrogenolysis of nickle oxide

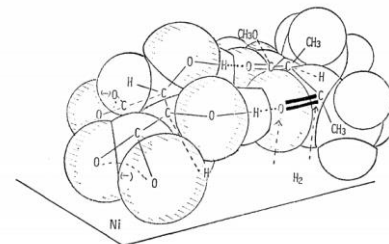
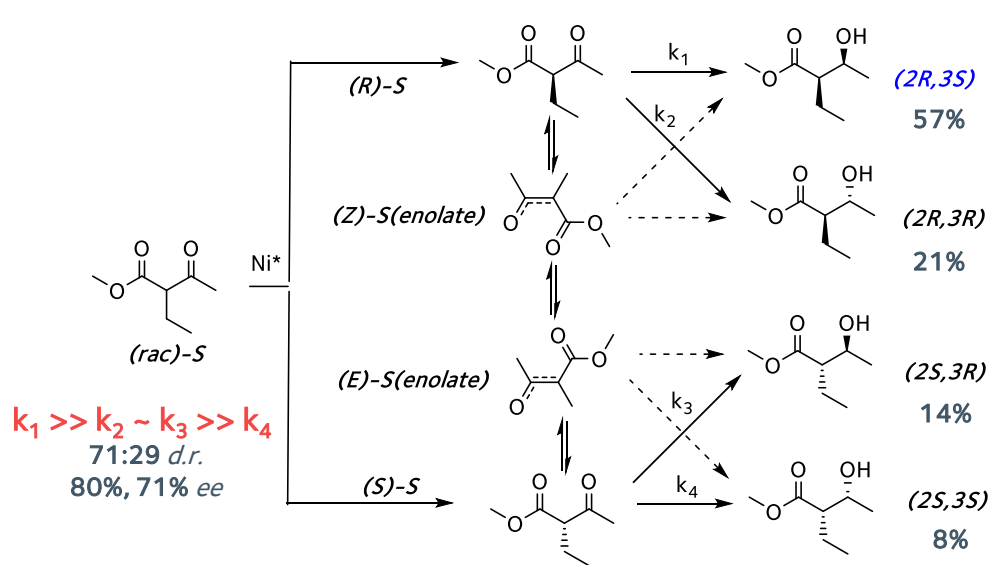


Fig. 1. Schematic representation of the complex between I(keto form) and (R,R) -tartaric acid on the MNI catalyst. The complex gives $(2S,3R)$ -III by the at-

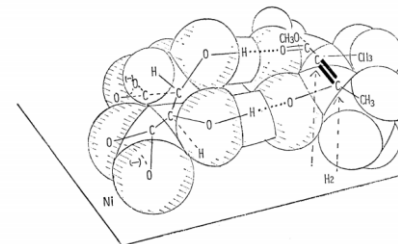
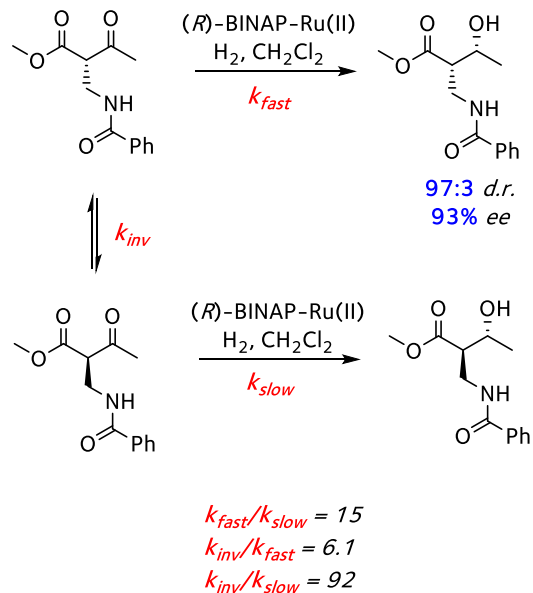


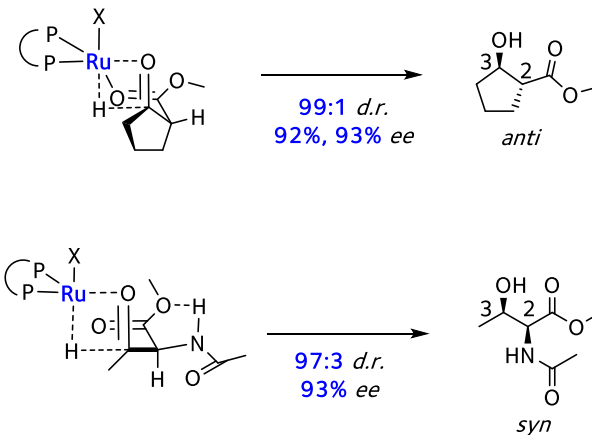
Fig. 2. Schematic representation of the complex between I(enolate) and (R,R) -tartaric acid on the MNI catalyst. The complex gives $(2S,3R)$ -III by the attack of hydrogen from the catalyst-side (*si*-face attack).

DYNAMIC KINETIC RESOLUTION (DKR)

Asymmetric Hydrogenation (Noyori Reduction)

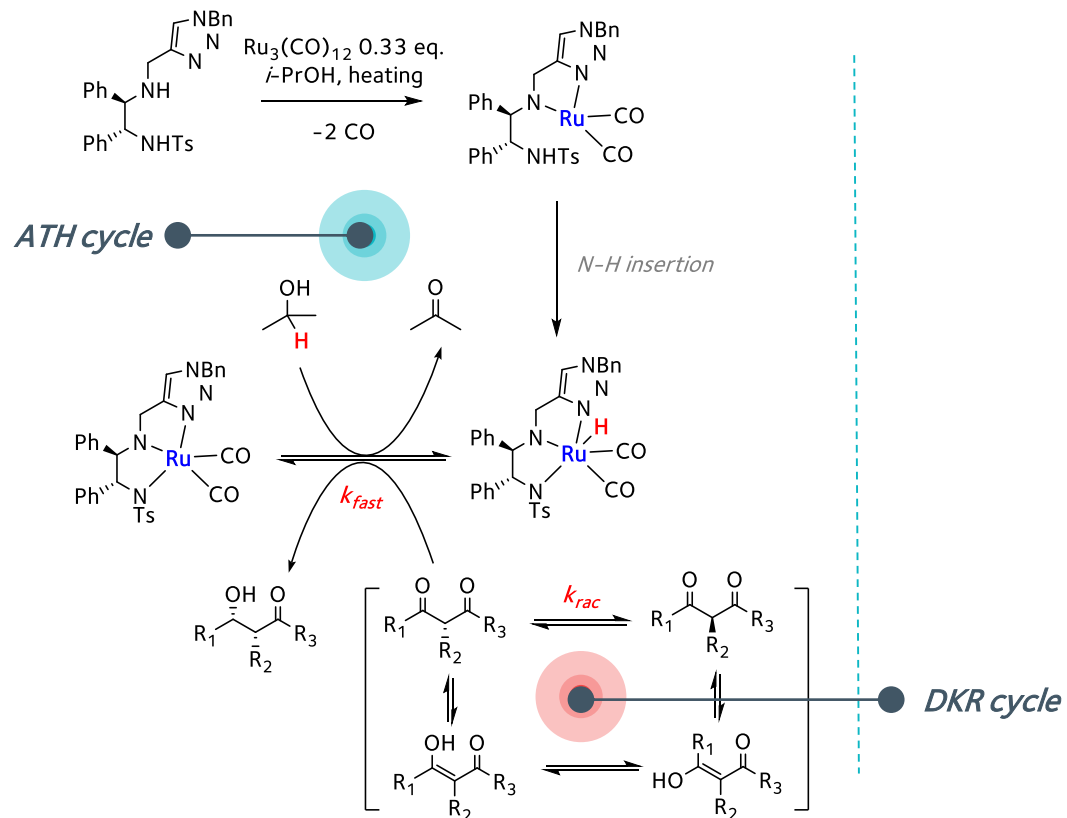


'The absolute configuration at C-3 is governed by the handedness of the BINAP ligand while the C-2 configuration is dependent on substrate structures.'



DYNAMIC KINETIC RESOLUTION (DKR)

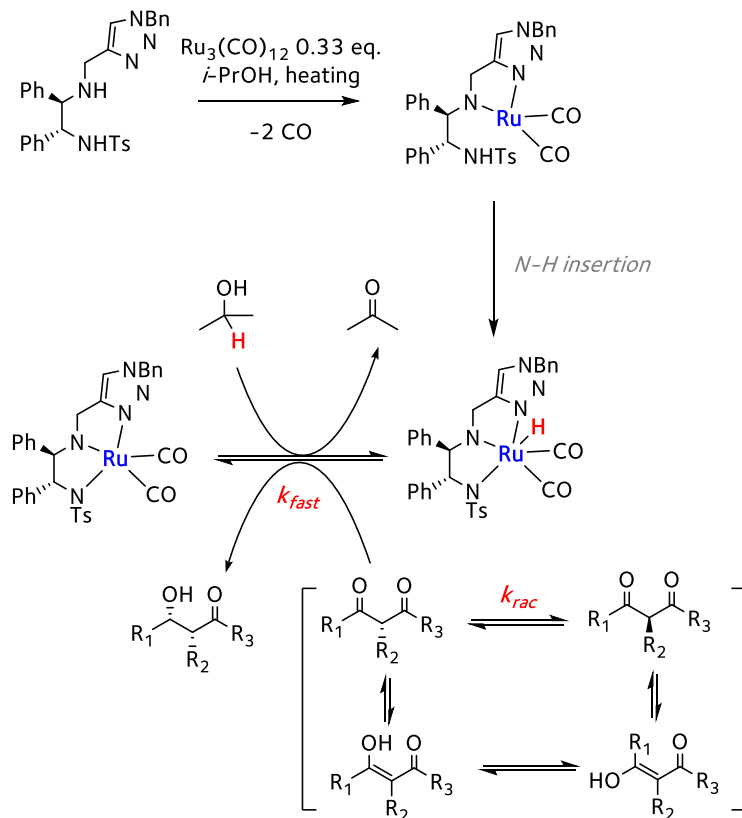
Asymmetric Transfer Hydrogenation (ATH)



DYNAMIC KINETIC RESOLUTION (DKR)

29

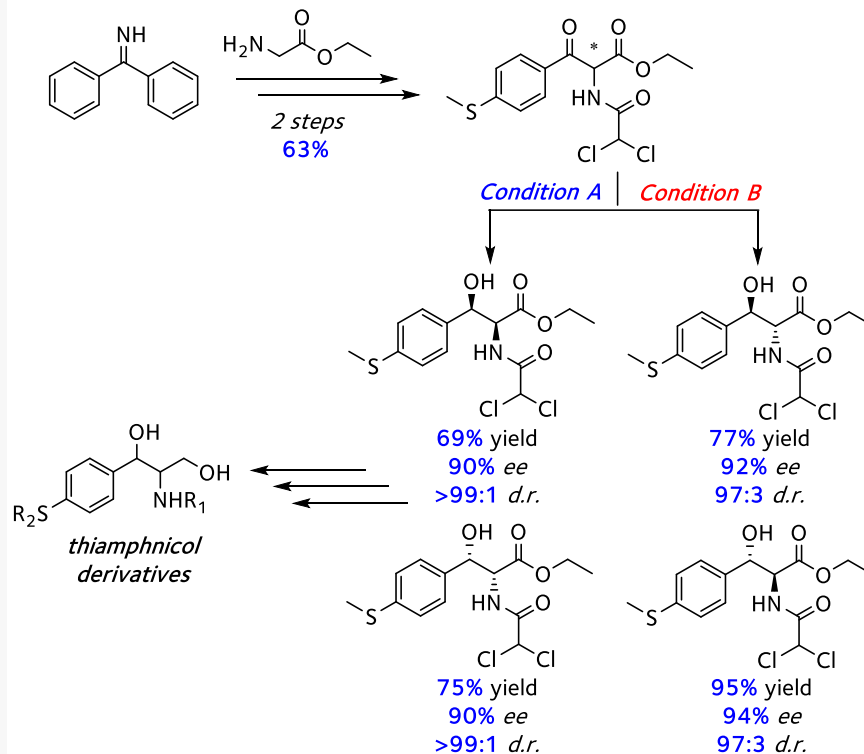
Asymmetric Transfer Hydrogenation (ATH)



Substrate	Condition	Result	Ref.
	[RuCl(p-cymene)L*] 0.2–2.5 mol% HCO ₂ H·NEt ₃ (5:2)	22–98% yield 45–99% ee 75:51–99:1 d.r.	Ros <i>et. al.</i> 2006
		73–96% yield 18–99% ee 67:33–99:1 d.r.	Ding <i>et. al.</i> 2009
		84–94% yield >99% ee 80:20–95:5 d.r.	Corbett <i>et. al.</i> 2013
		90–99% yield 99% ee 69:31–90:10 d.r.	Cheng <i>et. al.</i> 2015
	[RuCl ₂ (C ₆ H ₆)] ₂ L* 10 mol% HCO ₂ Na·H ₂ O	68–85% yield 78–96% ee 87:13–96:4 d.r.	Seashore-Ludlow <i>et. al.</i> 2012

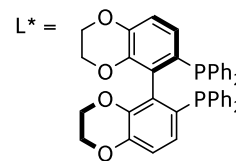
DYNAMIC KINETIC RESOLUTION (DKR)

Asymmetric Transfer Hydrogenation (ATH)



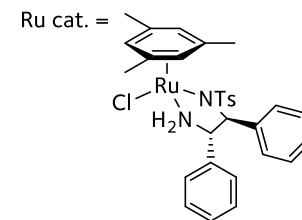
Condition A

[Ru(cod)(n³-methylallyl)₂]⁺L^{*}
 aq. HBr, H₂ (120 bar)
 CH₂Cl₂/EtOH (95:5)
 S/C = 33, 50 °C, 48 h



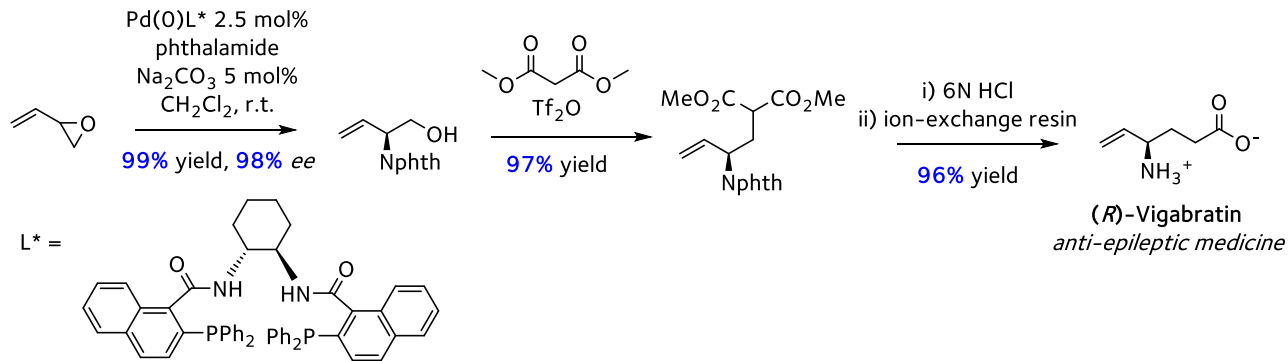
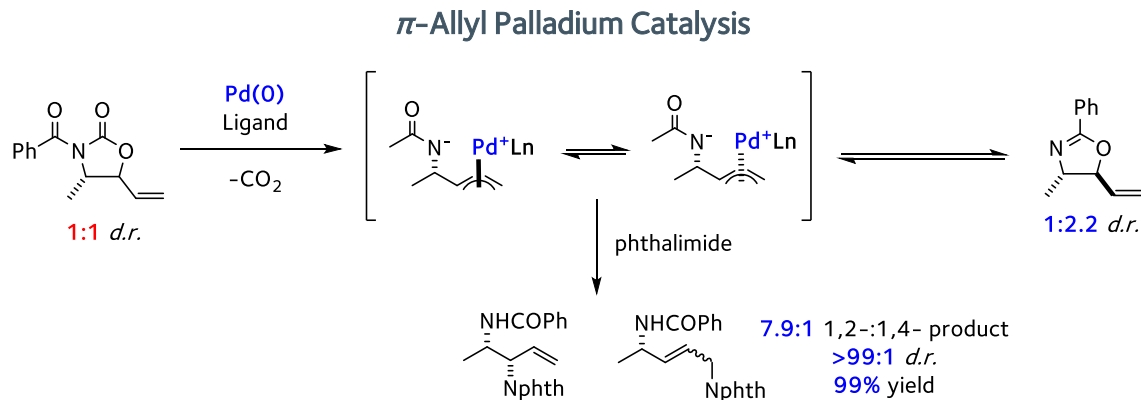
Condition B

Ru cat.
 HCO₂H/Et₃N (5:2)
 Et₂O
 S/C = 100, 50 °C, 1.5-3 h



DYNAMIC KINETIC RESOLUTION (DKR)

31



Cook *et. al.* *Angew. Chem. Int. Ed.* **1999**, 38(1/2), 110.

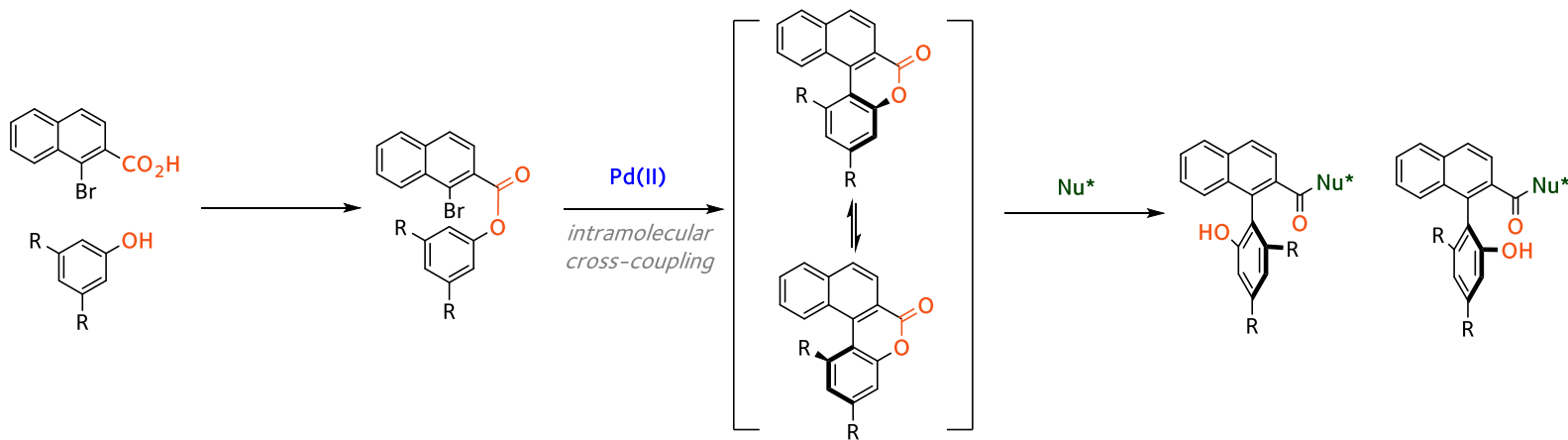
Trost *et. al.* *J. Am. Chem. Soc.* **2000**, 122 (25), 5968.

DYNAMIC KINETIC RESOLUTION (DKR)

32

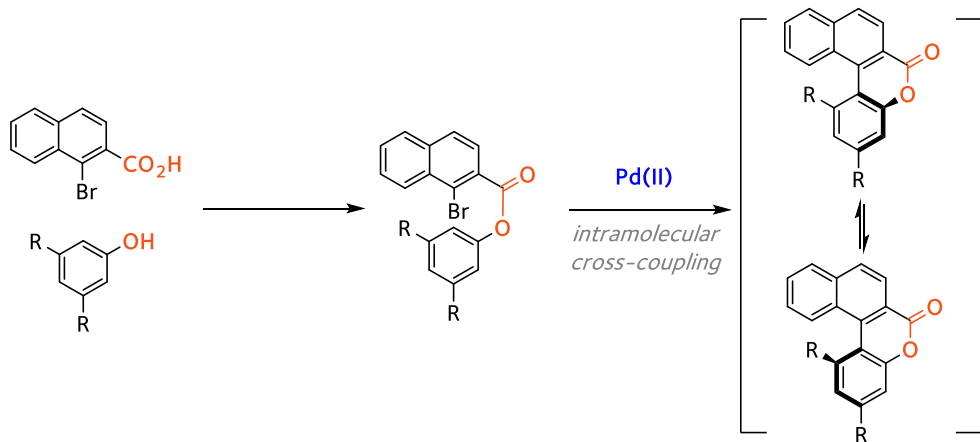
π -Allyl Palladium Catalysis: Atroposelective Reactions

- ▶ The 'Lactone Concept': Bringmann *et. al.*, 2002;
- ▶ Intramolecular cross-coupling followed by asymmetric ring cleavage;
- ▶ Configurationally unstable lactone intermediate;

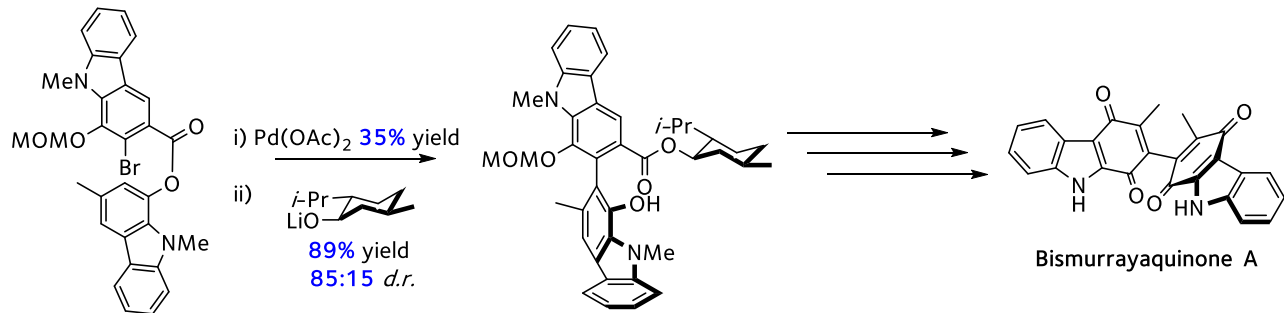


DYNAMIC KINETIC RESOLUTION (DKR)

π -Allyl Palladium Catalysis: Atroposelective Reactions



R	$t_{1/2}$ (r.t.)
H	<< 1 ms
OMe	ca. 1 ms
Me	ca. 1 s
Et	ca. 1 min
<i>i</i> -Pr	ca. 30 min
<i>t</i> -Bu	>2 d

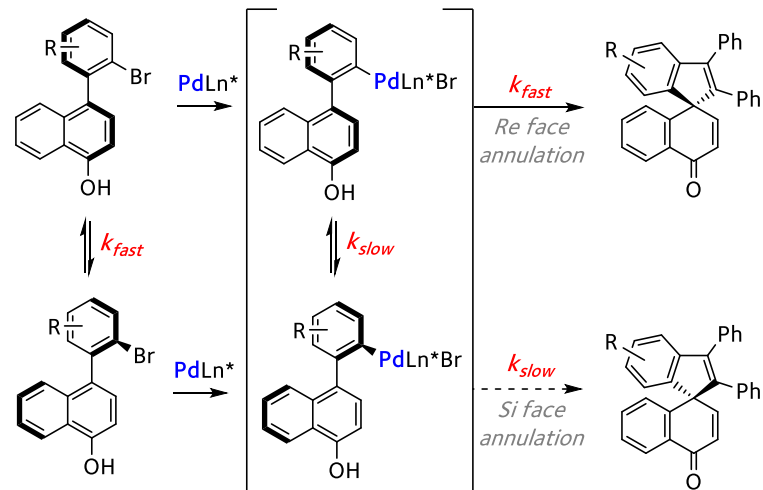
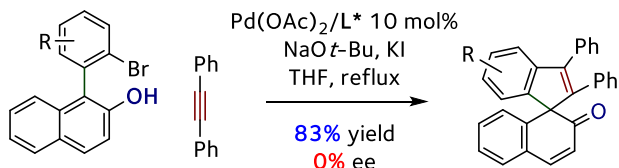
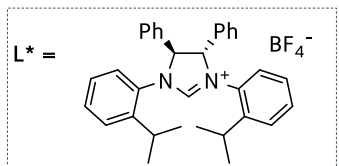
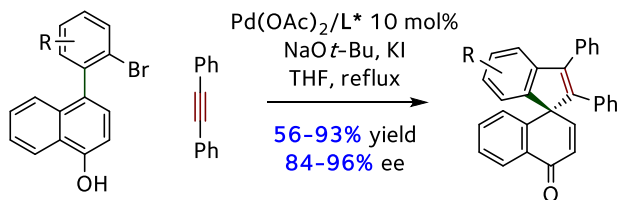


DYNAMIC KINETIC RESOLUTION (DKR)

34

π -Allyl Palladium Catalysis: Other Reactions

Dearomative Spirocyclization *via* DKR

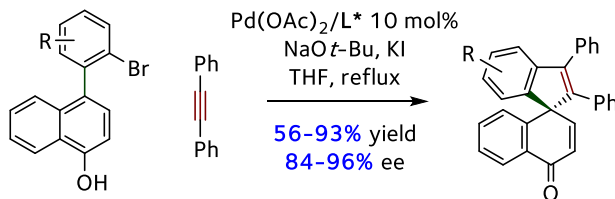


DYNAMIC KINETIC RESOLUTION (DKR)

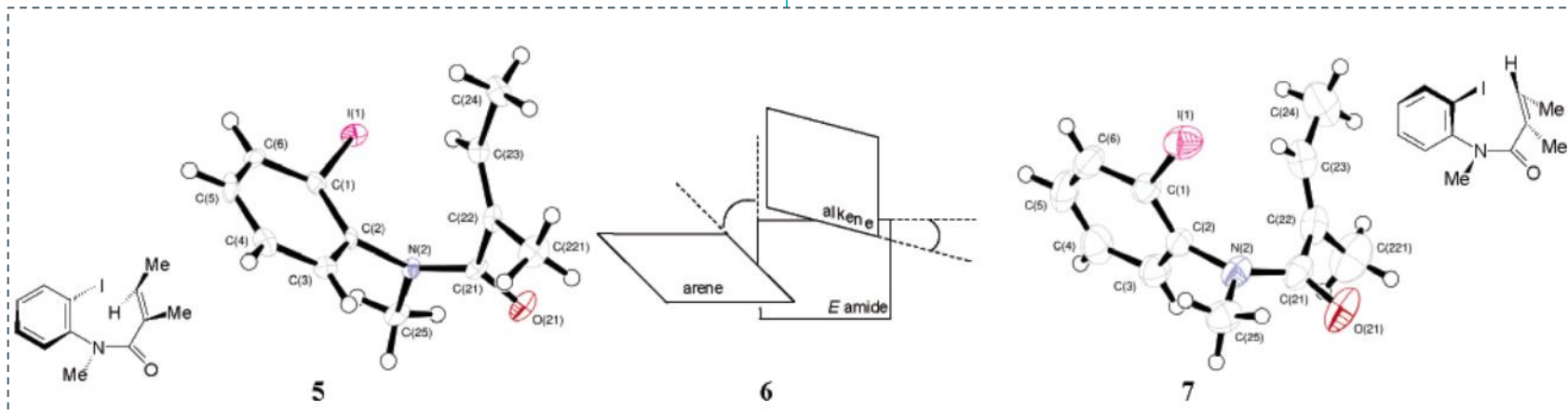
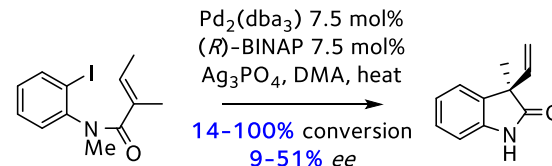
35

π -Allyl Palladium Catalysis: Other Reactions

Dearomative Spirocyclization *via* DKR



Asymmetric Heck Cyclization



Yang *et. al. J. Am. Chem. Soc.* **2015**, *137*, 4876.
 Trost *et. al. J. Am. Chem. Soc.* **2005**, *127*, 14186.

McDermott *et. al. Org. Lett.* **2006**, *8*, 2917.
 Hosoi *et. al. Tetrahedron* **2015**, *71*, 2317.

ANY QUESTIONS?



4.

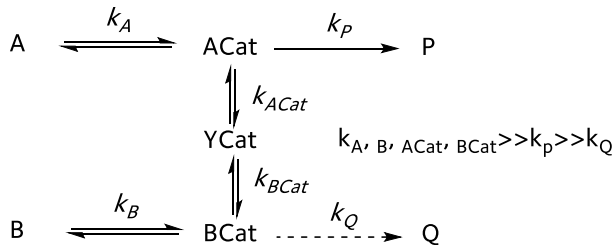
DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION

DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION (DyKAT)

38

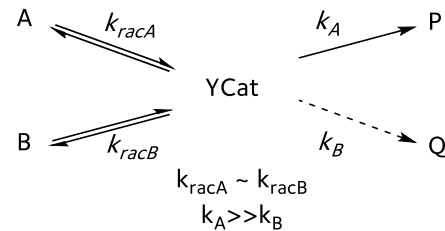
Type I DyKAT

- ▶ Binding of both enantiomers to provide a mixture of **diastereomeric** substrate–catalyst pairs (ACat, BCat);
- ▶ Rapid equilibration through a prochiral intermediate;
- ▶ Resemble DKRs in that $k_{\text{Cat}} \gg k_p \gg k_Q$
- ▶ $k_{\text{ACat}} \neq k_{\text{BCat}}$



Type II DyKAT

- ▶ Bear similarity to stereoablative transformations in that $k_{\text{racA}} \sim k_{\text{racB}} \gg k_A \gg k_B$
- ▶ The loss of chirality is both **reversible** and **catalyst-mediated**;

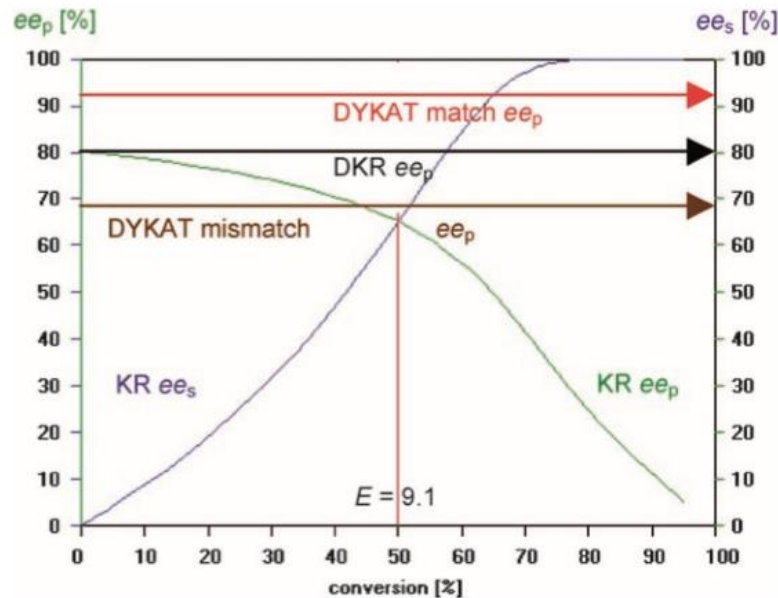
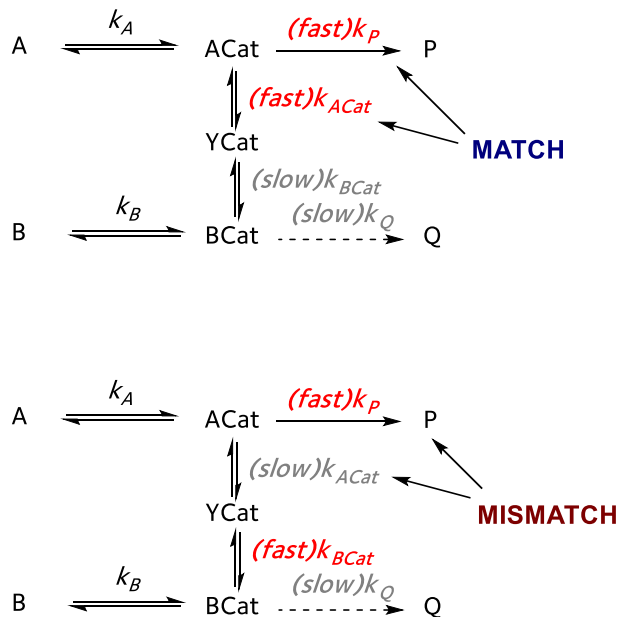


Barry M. Trost, 2000:

A dynamic kinetic asymmetric transformation has a potential advantage over a resolution (kinetic or dynamic kinetic)— fewer synthetic steps. If the act of converting a racemic mixture into a single enantiomeric series is combined with one of the structural transformations, the dynamic resolution is not an additional step in the synthesis and thereby saves a step.

DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION (DyKAT)

Type I DyKAT: Matched vs. Mismatched

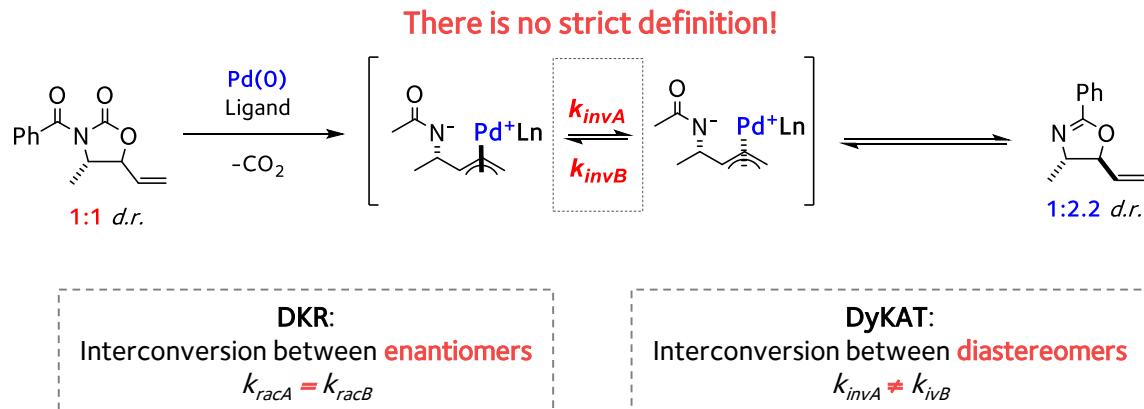


Trost *et. al.* *J. Am. Chem. Soc.* **2000**, 122, 5968.

Ostrovskii *et. al.* *Vestsi Nats. Akad. Navuk Belarusi, Ser. Biyal. Navuk* **1994**, 60.

DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION (DyKAT)

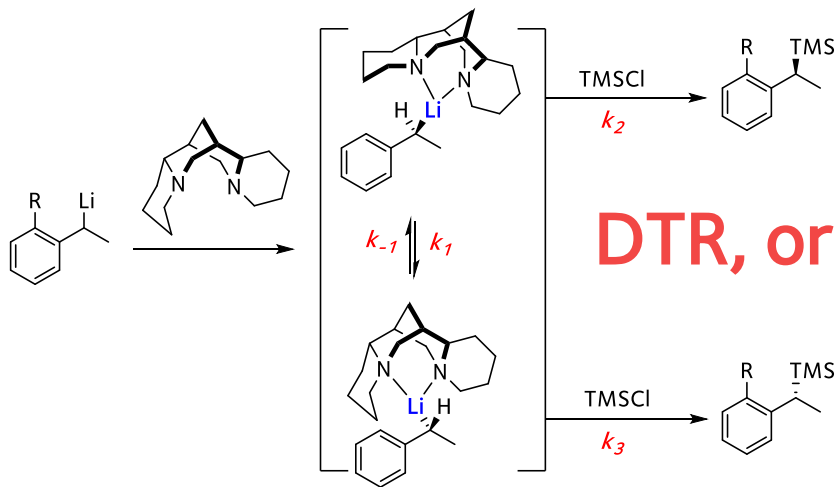
40



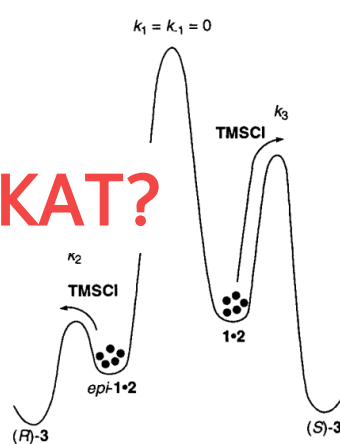
DKR, or DyKAT?

DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION (DyKAT)

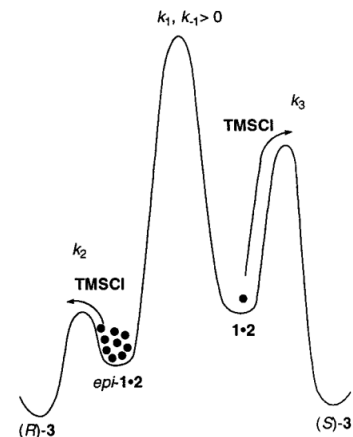
There is no strict definition!



DTR, or DyKAT?



Entire Reaction at $-78\text{ }^{\circ}\text{C}$

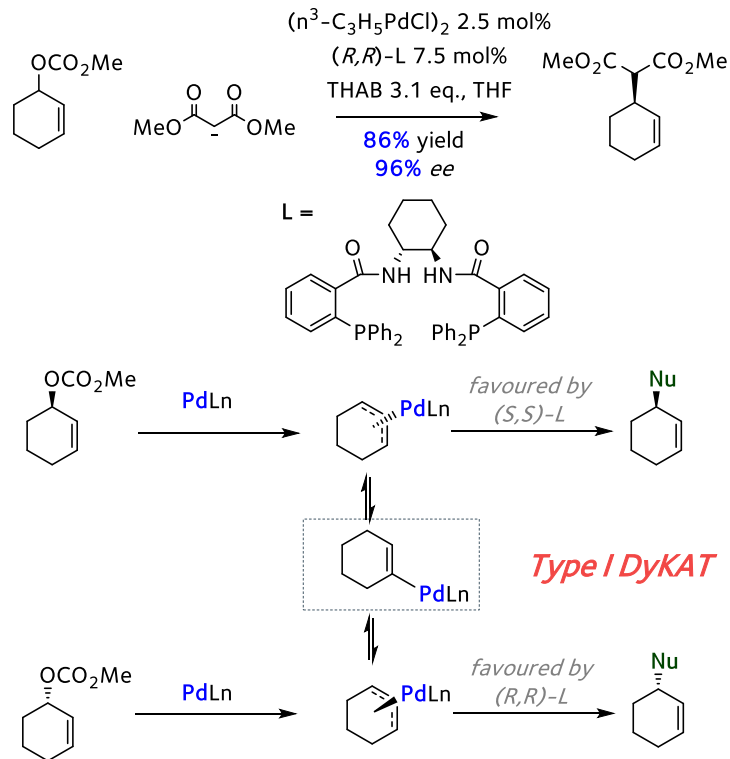


Reaction under dynamic thermodynamic control:
equilibration at $-25\text{ }^{\circ}\text{C}$ is enantiodetermining

DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION (DyKAT)

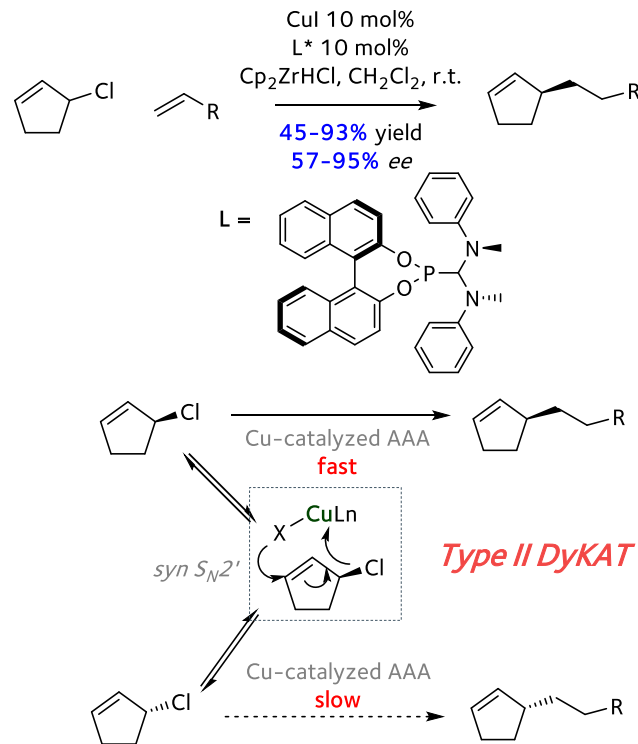
42

Type I DyKAT vs. Type II DyKAT: Asymmetric Allylic Alkylation (AAA)



Trost et. al. *J. Am. Chem. Soc.* **2009**, 131, 12056.

Trost et. al. *J. Am. Chem. Soc.* **1999**, 121, 3543



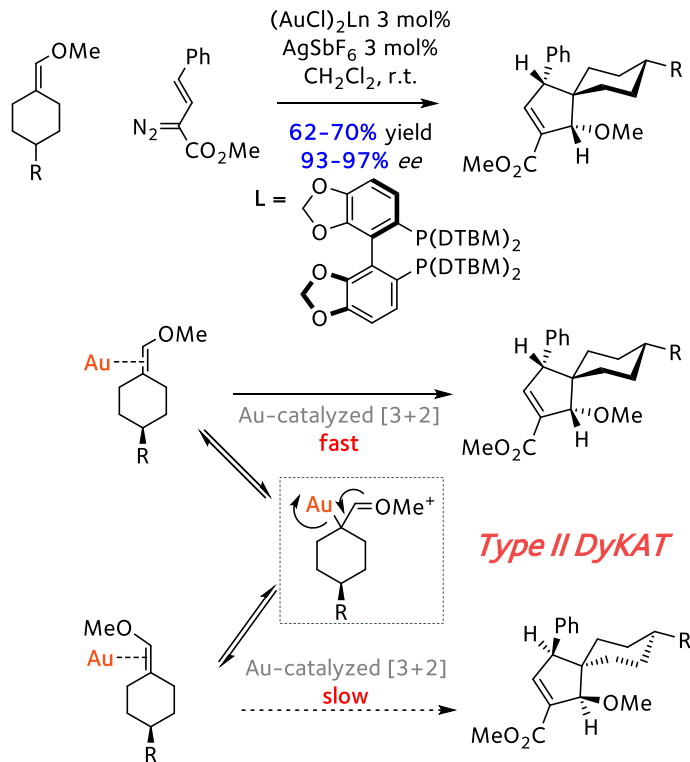
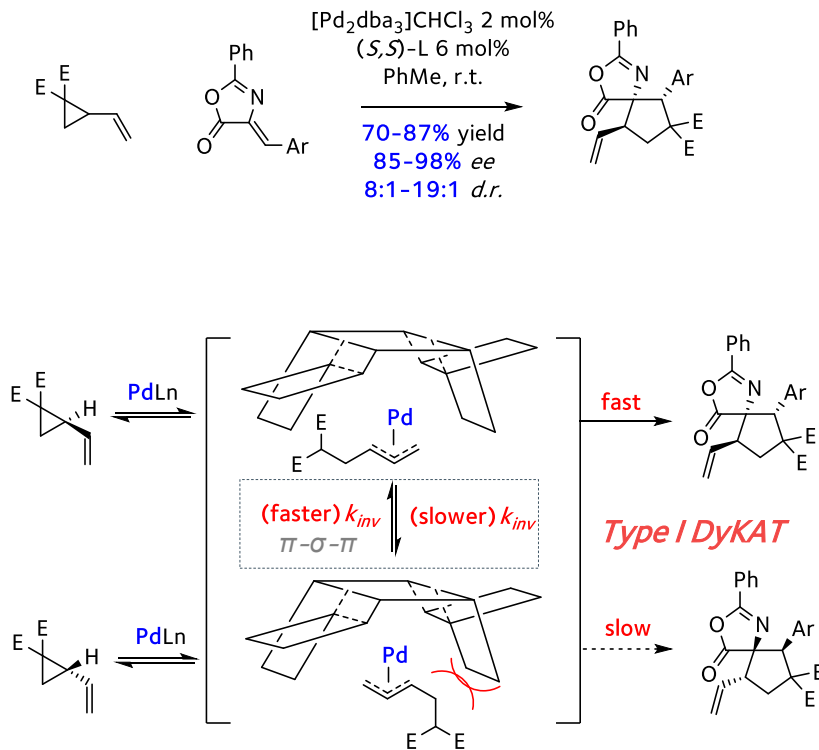
You et. al. *Nature* **2015**, 517, 351.

Sidera et. al. *Chem. Commun.* **2015**, 51, 5044.

DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION (DyKAT)

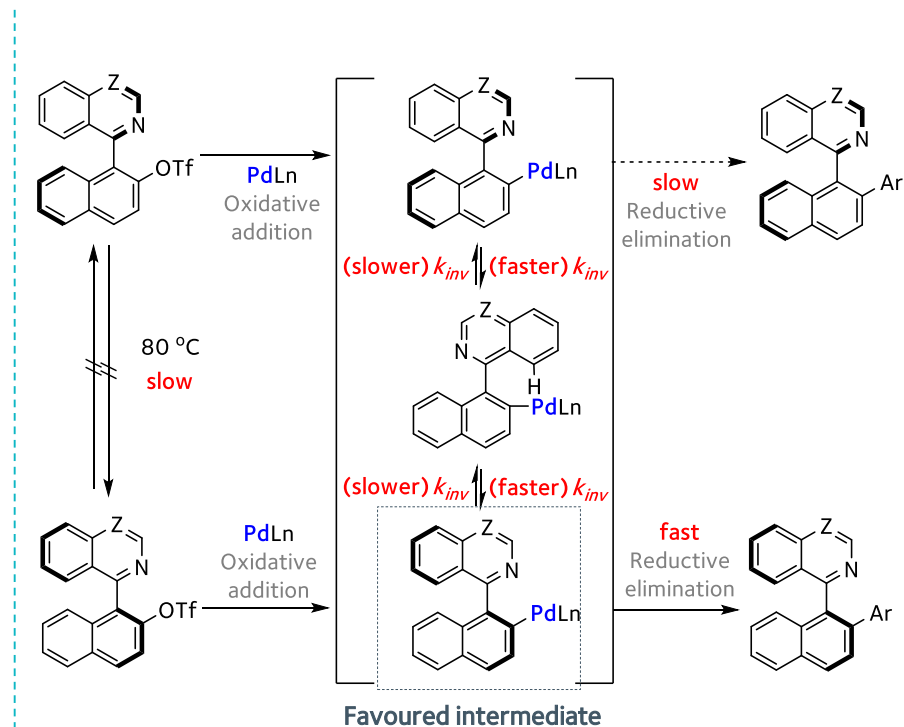
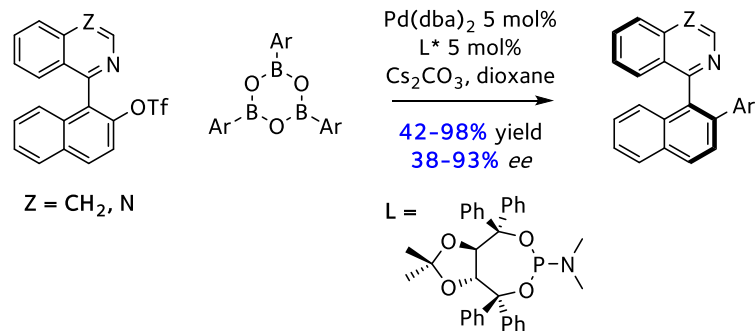
Type I DyKAT vs. Type II DyKAT: [3+2] Cycloaddition

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DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION (DyKAT)

Type I DyKAT vs. Type II DyKAT: Suzuki–Miyaura Coupling Reaction



Ros *et. al.* *J. Am. Chem. Soc.* **2013**, *135*, 15730.

Wencel-Delord *et. al.* *Chem. Soc. Rev.* **2015**, *44*, 3418.

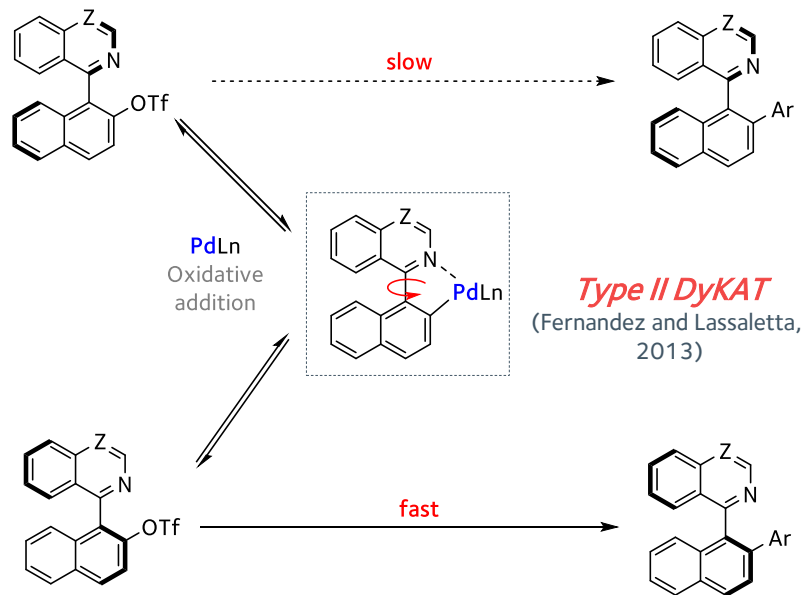
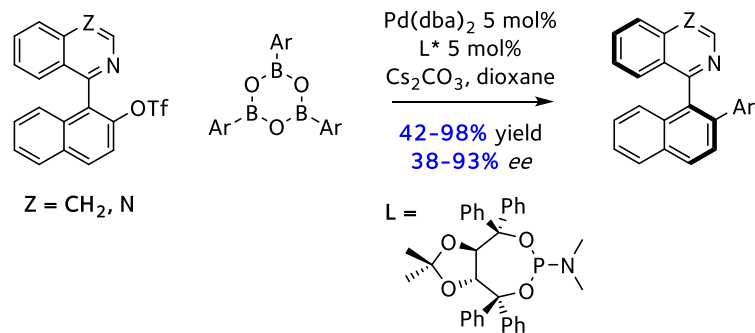
Bringmann *et. al.* *Angew. Chem. Int. Ed.* **2005**, *44*, 5384.

Bhat *et. al.* *J. Am. Chem. Soc.* **2013**, *135*, 16829.

DYNAMIC KINETIC ASYMMETRIC TRANSFORMATION (DyKAT)

Type I DyKAT vs. Type II DyKAT: Suzuki–Miyaura Coupling Reaction

45



Ros *et. al. J. Am. Chem. Soc.* **2013**, *135*, 15730.

Wencel-Delord *et. al. Chem. Soc. Rev.* **2015**, *44*, 3418.

Bringmann *et. al. Angew. Chem. Int. Ed.* **2005**, *44*, 5384.

Bhat *et. al. J. Am. Chem. Soc.* **2013**, *135*, 16829.

ANY QUESTIONS?



5.

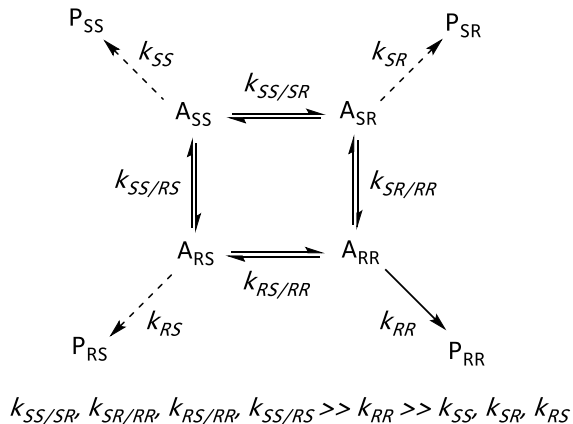
MISCELLANEOUS REACTIONS

MISCELLANEOUS REACTIONS

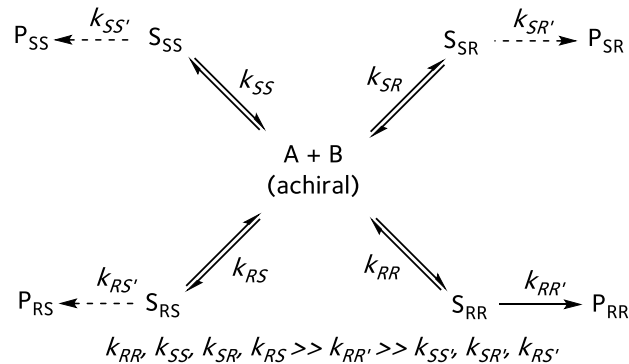
48

Type III DyKAT

- ▶ De-epimerization of diastereomers *via* rapid equilibration through a prochiral intermediate;
- ▶ Overall resolution of enantiomers (type I and II) *versus* the resolution of diastereomers (type III and IV);



Type IV DyKAT

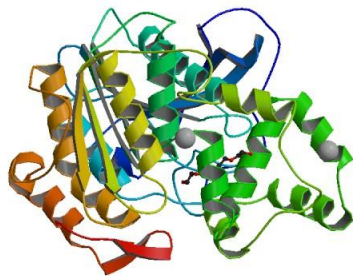


MISCELLANEOUS REACTIONS

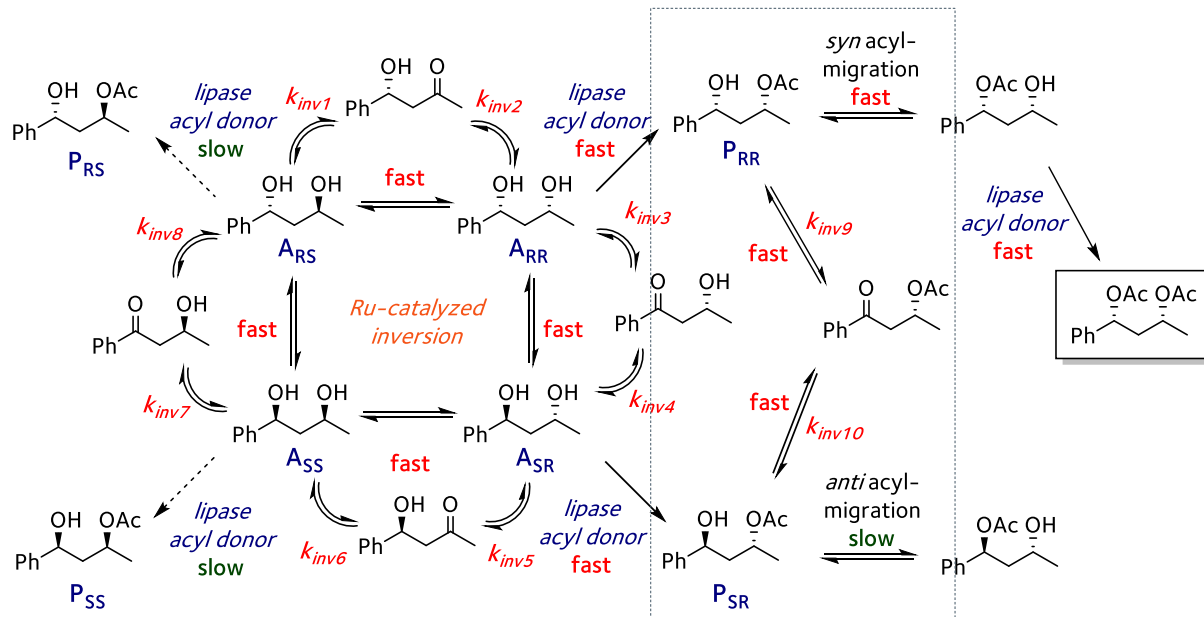
Type III DyKAT: 1,3-Diacetate Synthesis

Type III, or Matched-Matched-Type I?

CALB = *Candida antarctica* lipase B



Edin et. al. *Proc. Natl. Acad. Sci. USA*.
2004, 101, 5761.
Steinreiber et. al. *Chem. Eur. J*.
2008, 14, 8060.



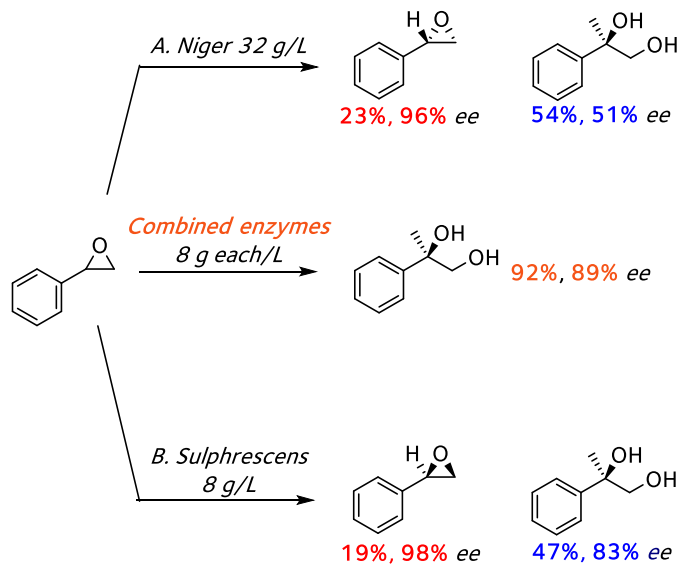
Type IV, or Type II?



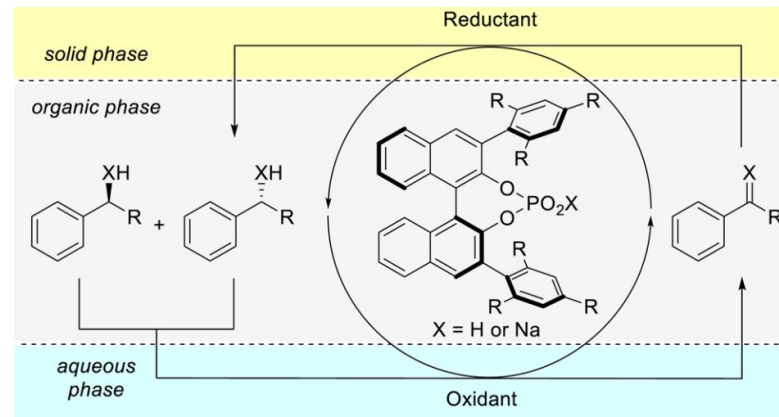
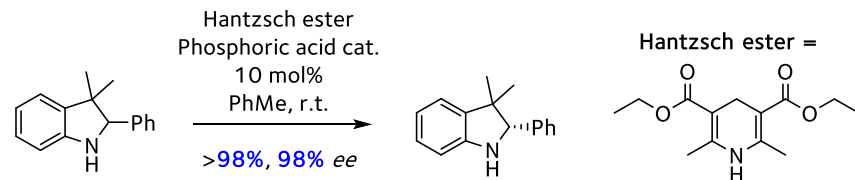
MISCELLANEOUS REACTIONS

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Undefined Enantioconvergent Process (ECP)



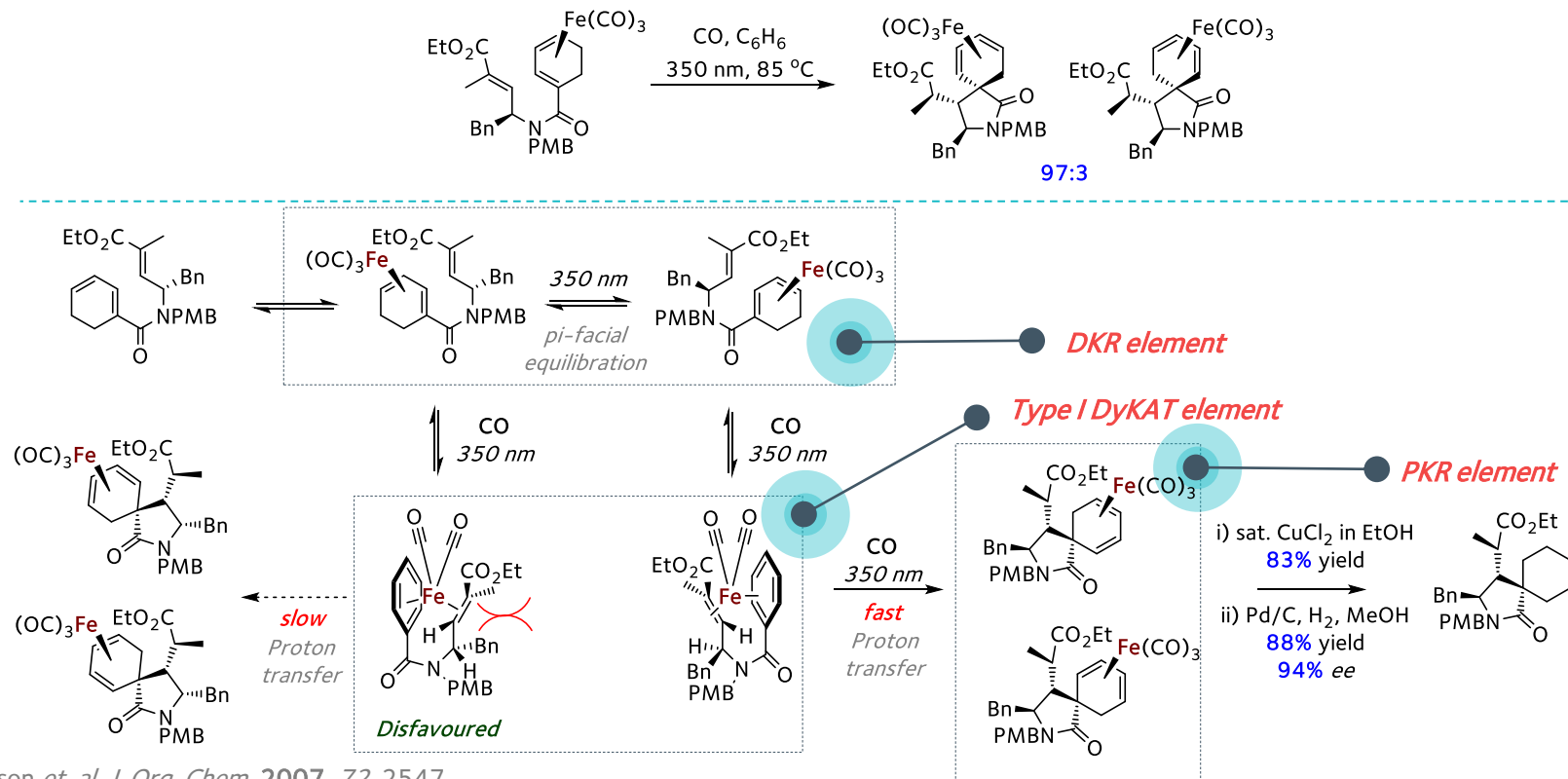
Cyclic De-racemization (CycD)



MISCELLANEOUS REACTIONS

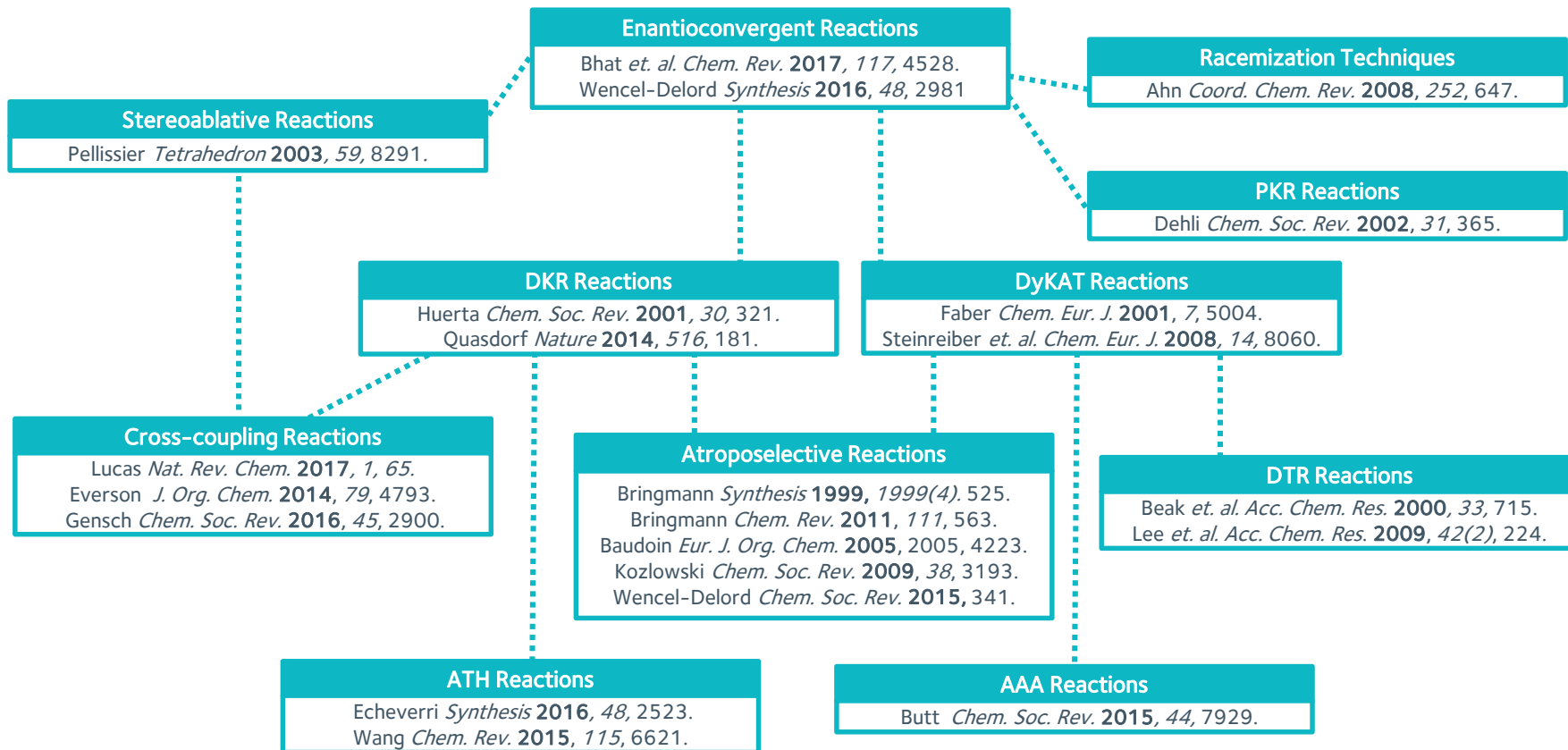
52

Dynamic Substrate Directed Resolution (DSDRs) Enantioselective Lactam Synthesis

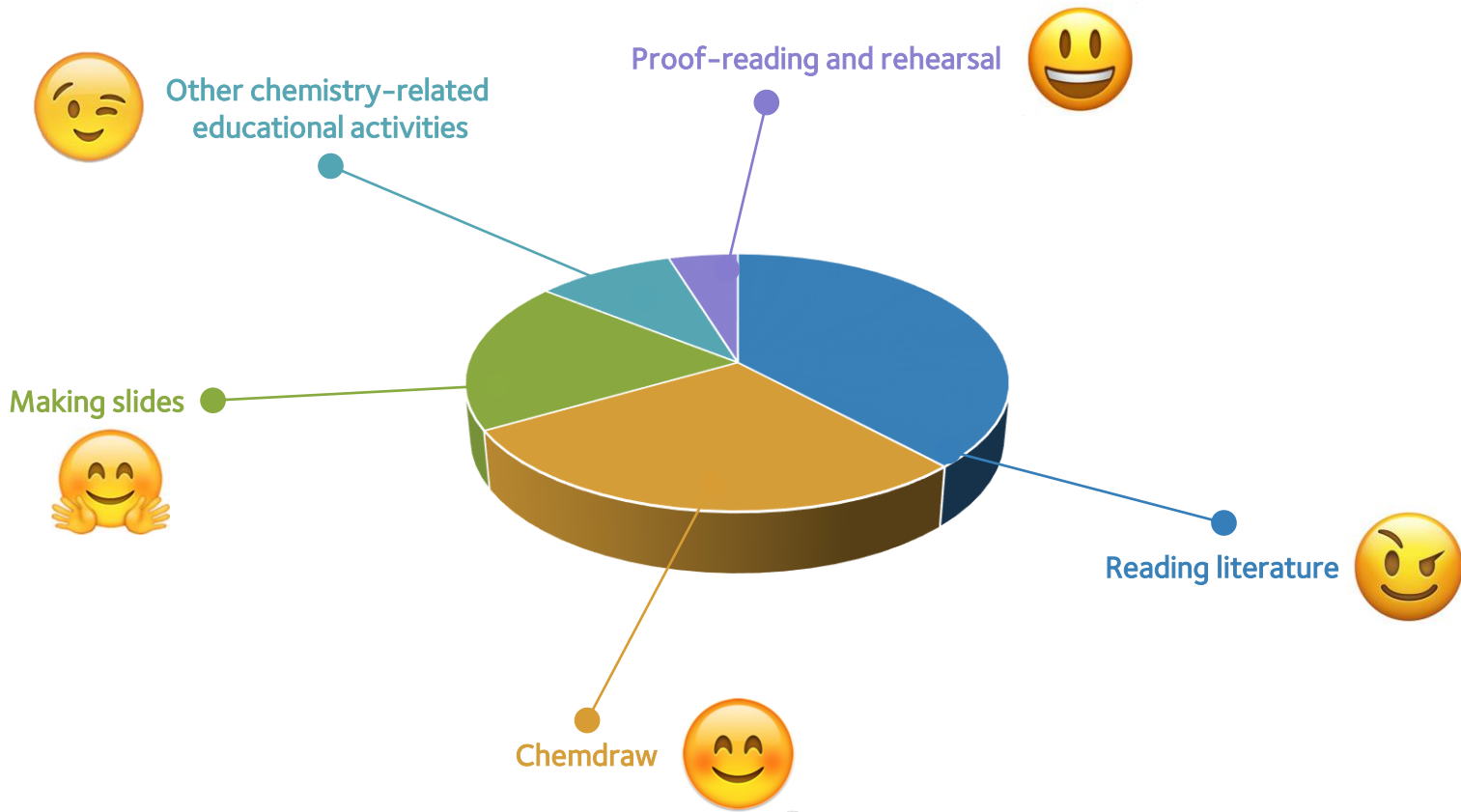


REFERENCES: USEFUL REVIEWS

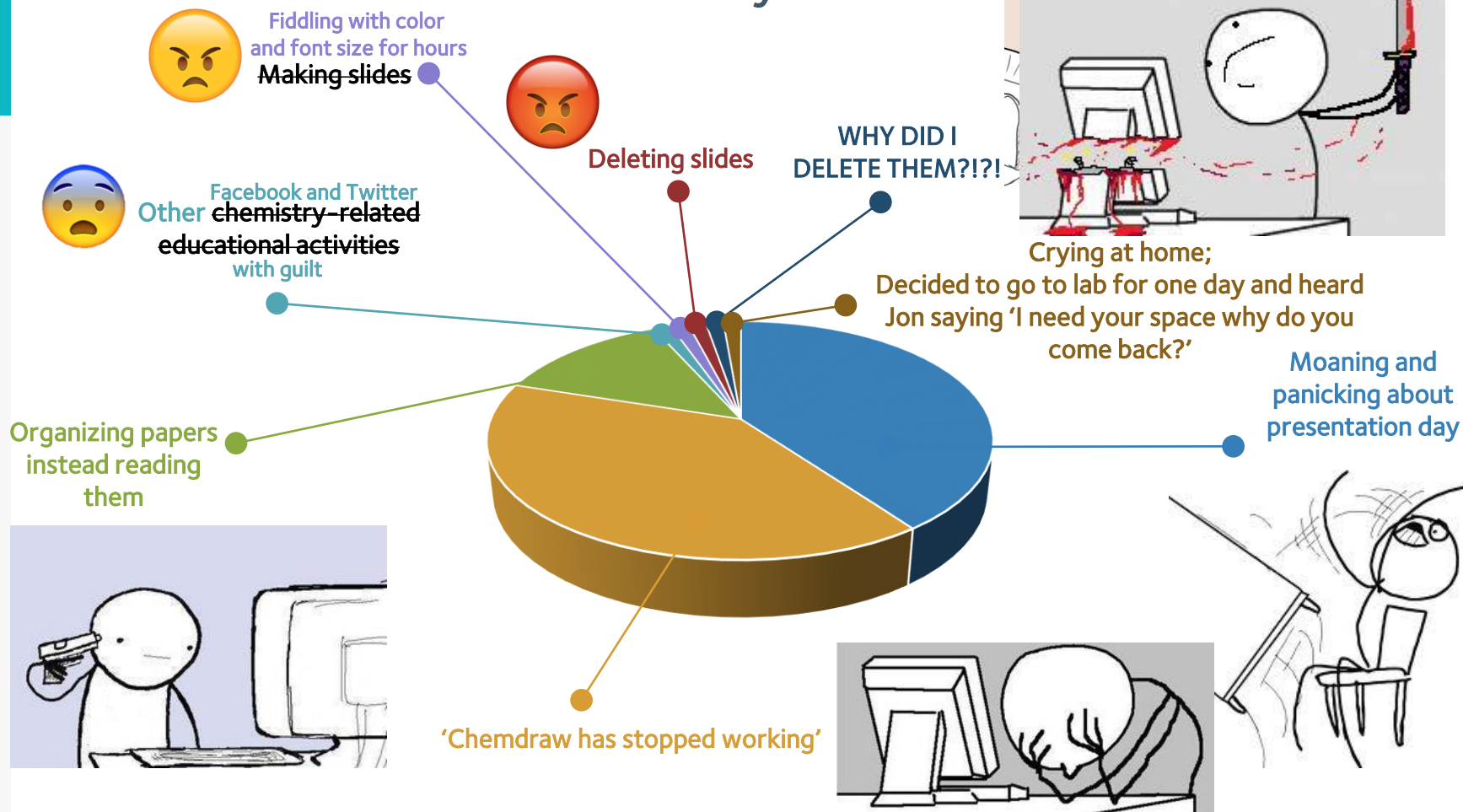
53



What I thought a literature review was like...



What it is really like...





THANKS FOR YOUR ATTENTION!

